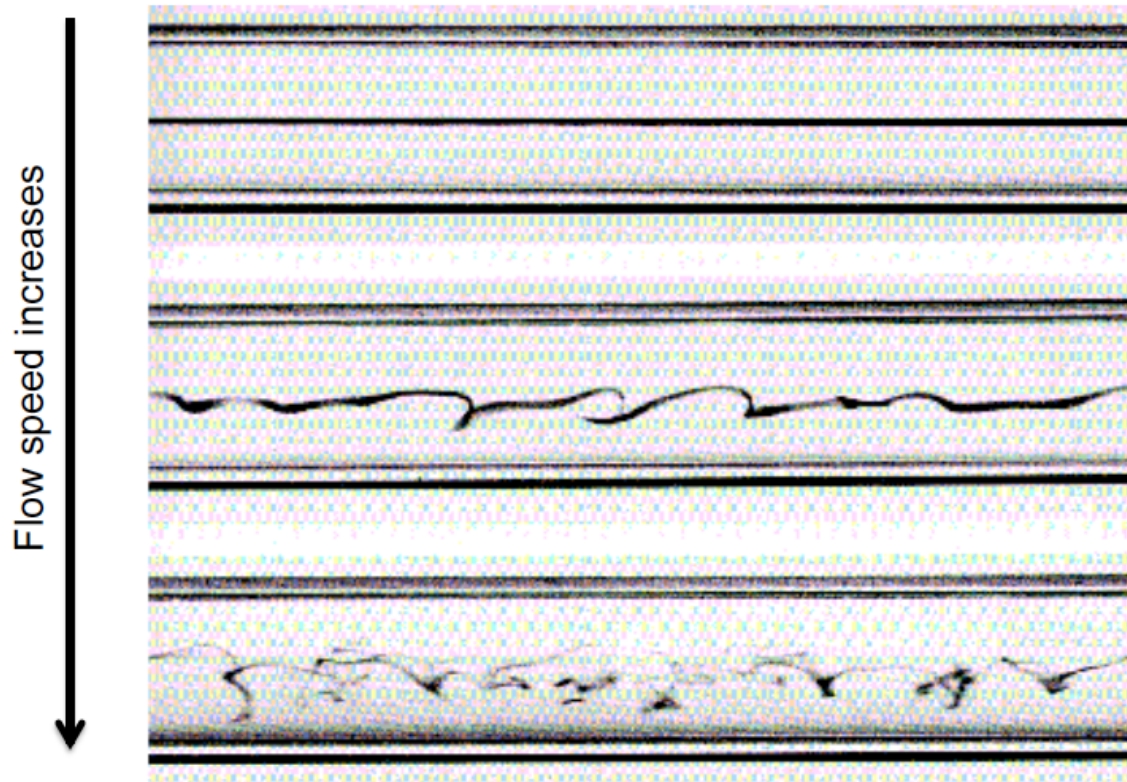


Turbulence

A streaming fluid (e.g. water, hydrodynamic equations) can have non-linear turbulent solutions (Reynolds, 1883)

Osborne Reynolds (1883)



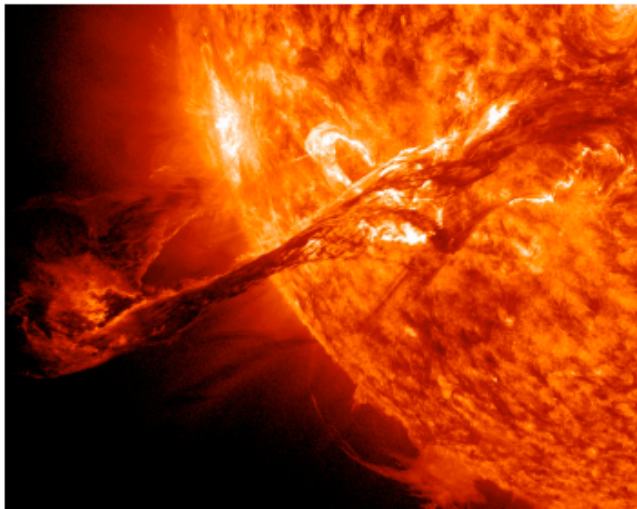
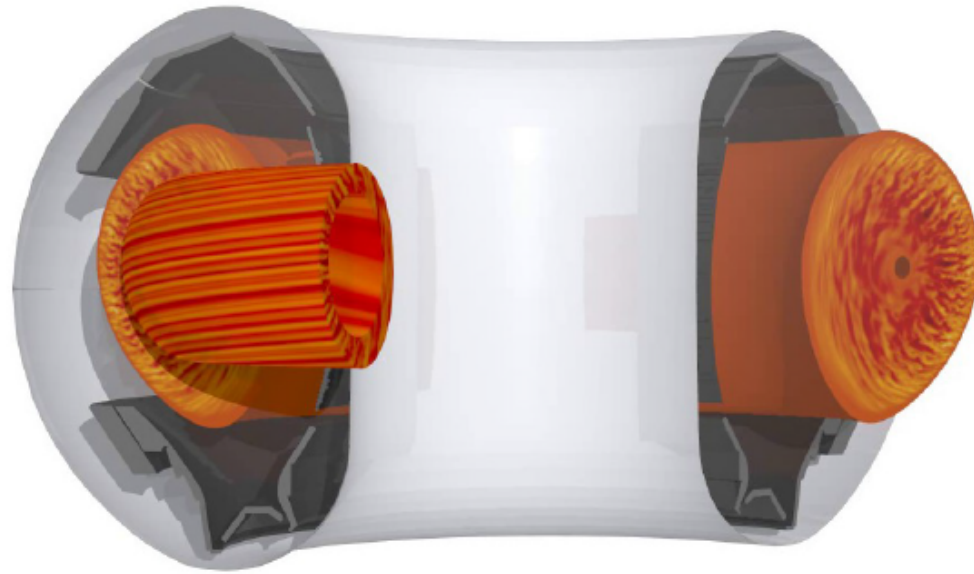
S. Günter with contributions from F. Jenko, U. Stroth, C. Angioni

Turbulence – an important problem in fluid dynamics

- Design of airplanes, ships, cars ...
- Predict weather and climate
- Blood circulation
- ...



Turbulence in plasmas



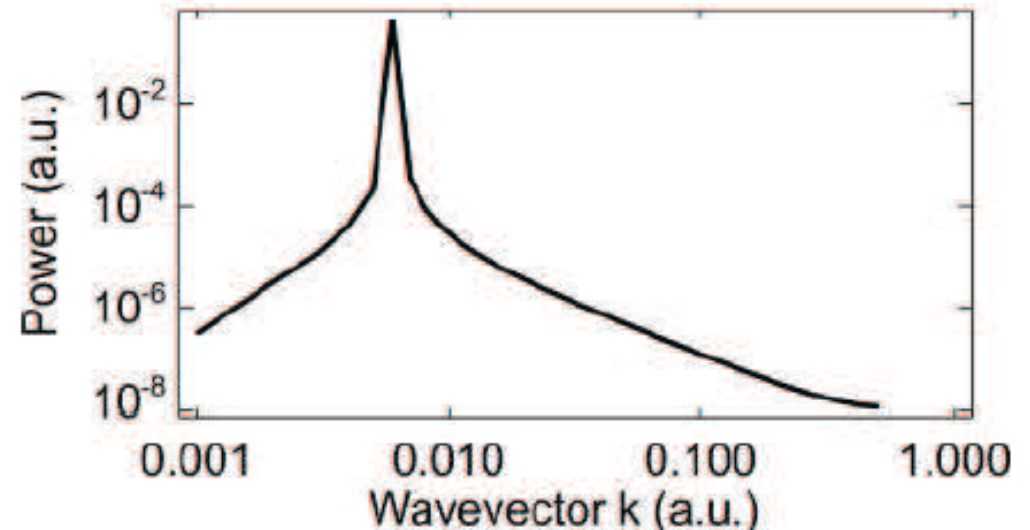
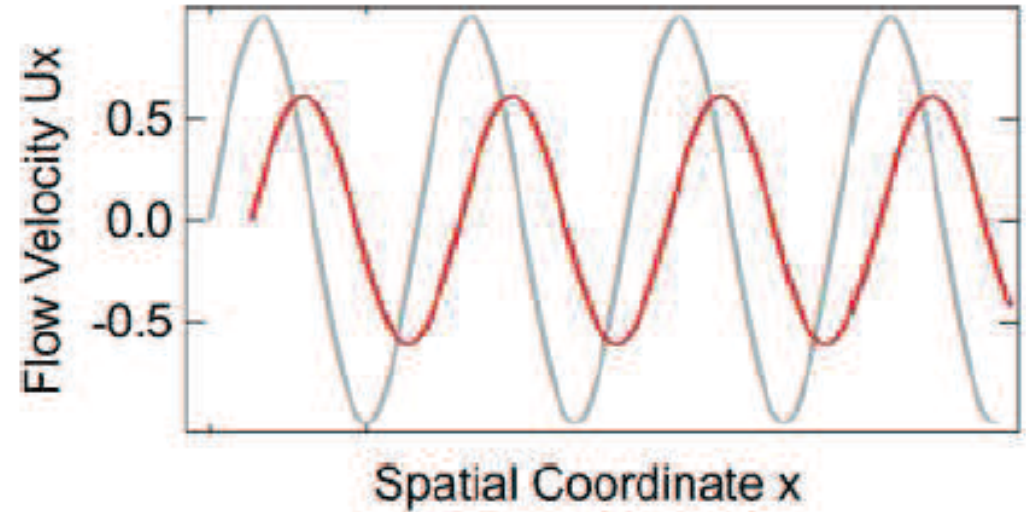
Non-linear physics in fluid equations

Simple linear equation

$$\frac{\partial u}{\partial t} = -u_{ph} \frac{\partial u}{\partial x} + \frac{1}{\mathcal{R}} \frac{\partial^2 u}{\partial x^2}$$

↑
propagation

↑
dissipation



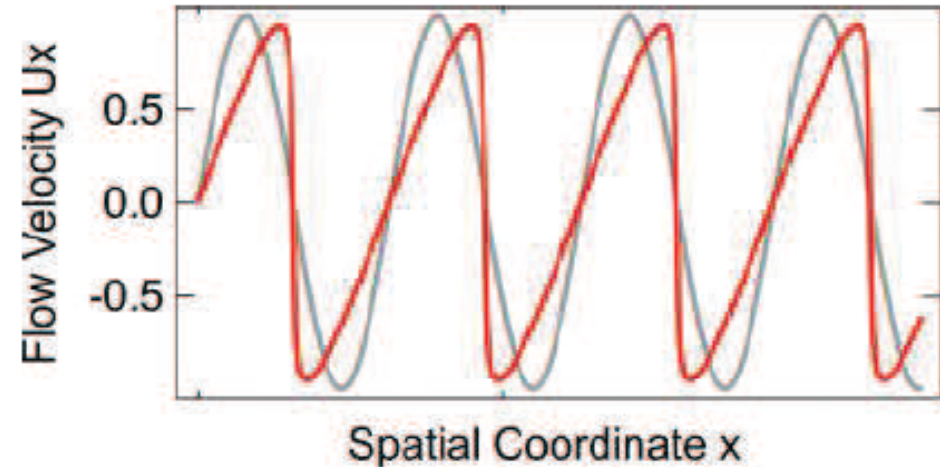
Non-linear physics in fluid equations

Simple linear equation

$$\frac{\partial u}{\partial t} = -u_{ph} \frac{\partial u}{\partial x} + \frac{1}{\mathcal{R}} \frac{\partial^2 u}{\partial x^2}$$

↑
propagation

↑
dissipation



Add a nonlinearity

$$\frac{\partial u}{\partial t} = -u \frac{\partial u}{\partial x} + \frac{1}{\mathcal{R}} \frac{\partial^2 u}{\partial x^2}$$

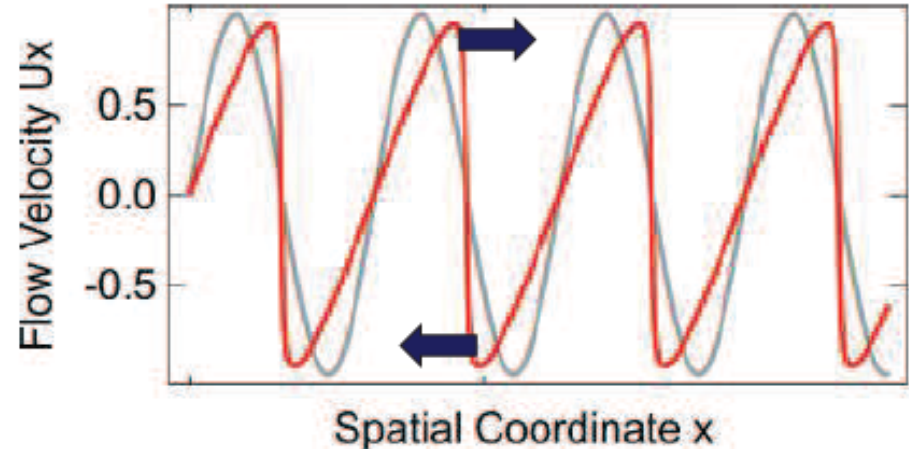
↑
“phase velocity
depends on amplitude”

Non-linear physics in fluid equations

Simple linear equation

$$\frac{\partial u}{\partial t} = -u_{ph} \frac{\partial u}{\partial x} + \frac{1}{\mathcal{R}} \frac{\partial^2 u}{\partial x^2}$$

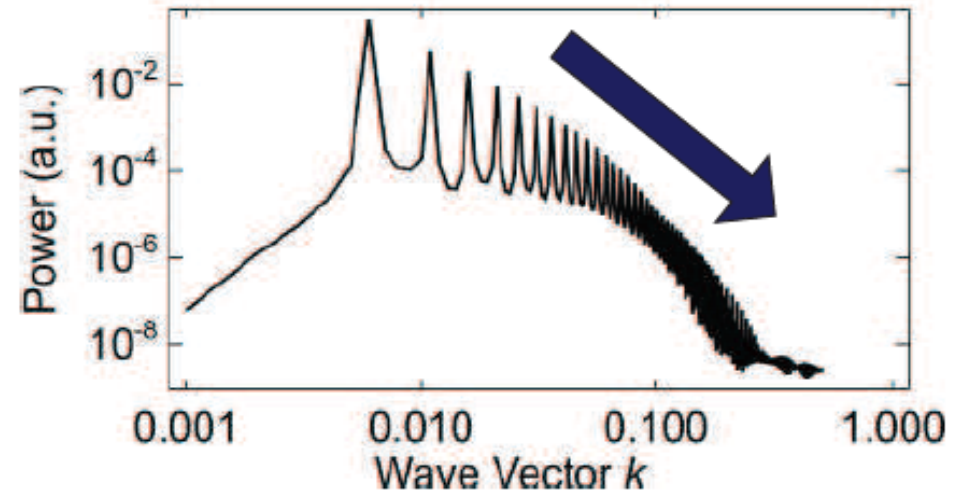
↑ ↑
propagation dissipation



Add a nonlinearity

$$\frac{\partial u}{\partial t} = -u \frac{\partial u}{\partial x} + \frac{1}{\mathcal{R}} \frac{\partial^2 u}{\partial x^2}$$

↑
“phase velocity depends on amplitude”



Nonlinearity creates higher harmonics \Rightarrow **direct cascade**
wave breaking

Non-linear physics in fluid equations



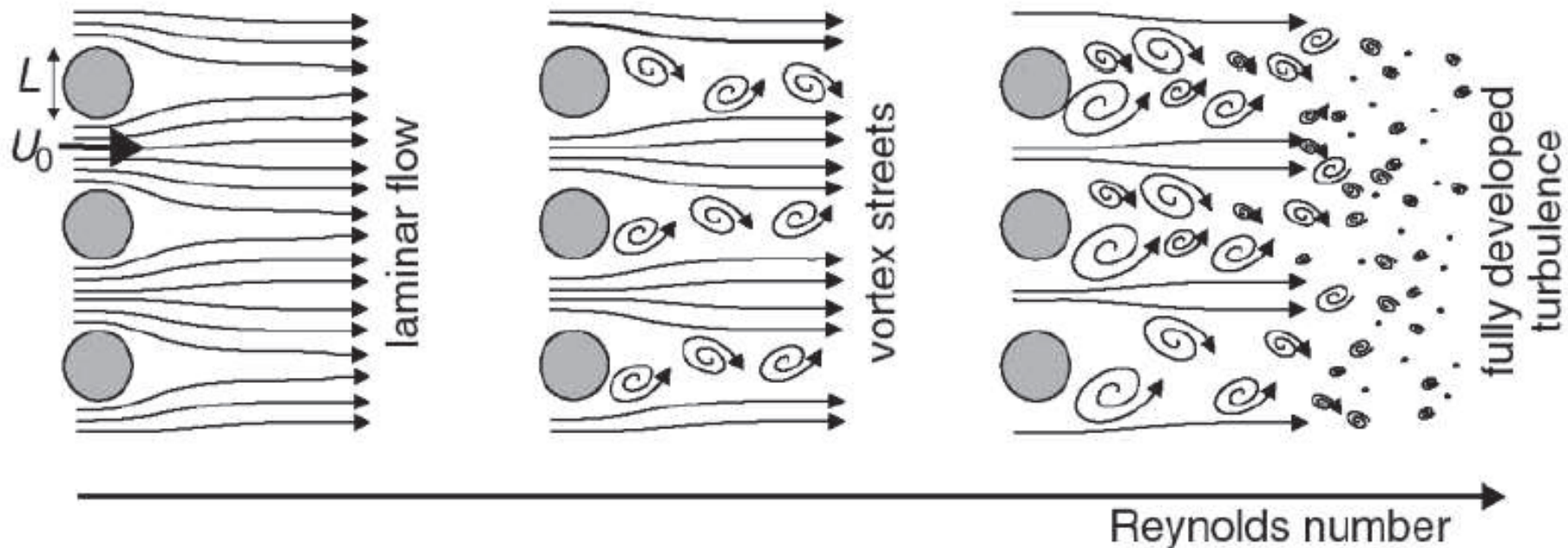
Turbulence in neutral fluids

Navier-Stokes Equation (dimensionless form)

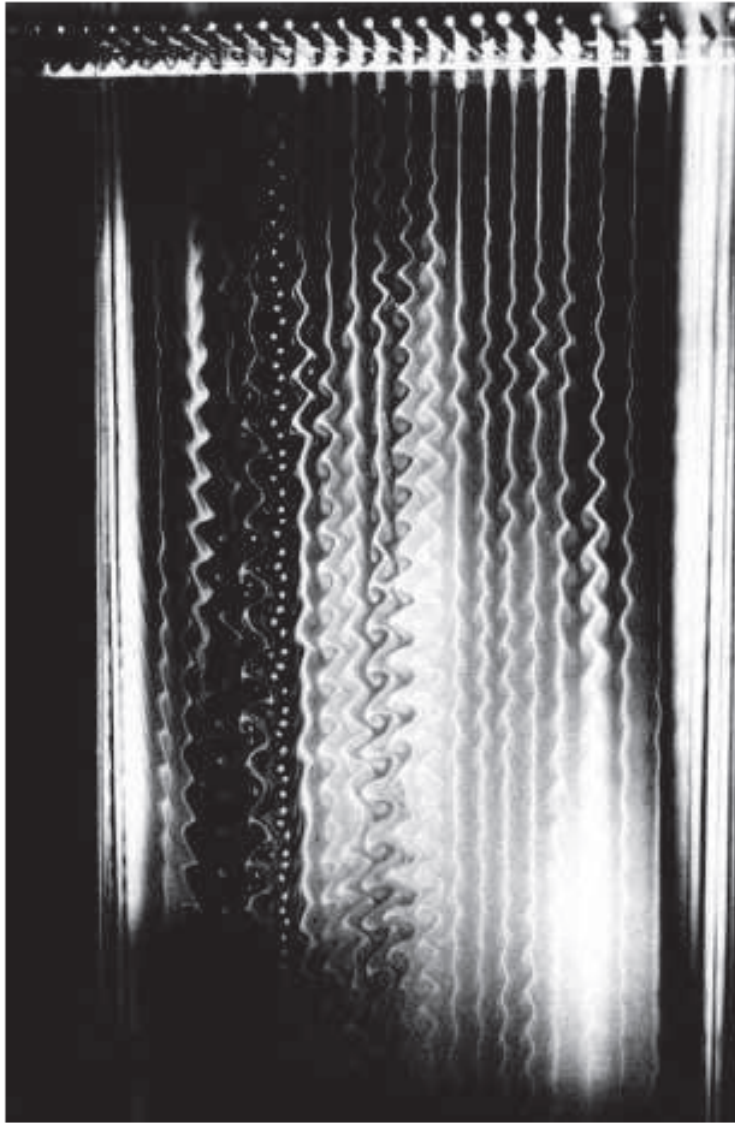
$$\frac{\partial \mathbf{u}}{\partial t} = -(\mathbf{u} \cdot \nabla) \mathbf{u} - \nabla \hat{p} + \frac{1}{Re} \nabla^2 \mathbf{u}$$

- ▶ Dimensionless Reynolds' number decides about the type of flow

$$Re = \frac{u \nabla u}{\mu \Delta u} = \frac{UL}{\mu}$$



Turbulence in soap films



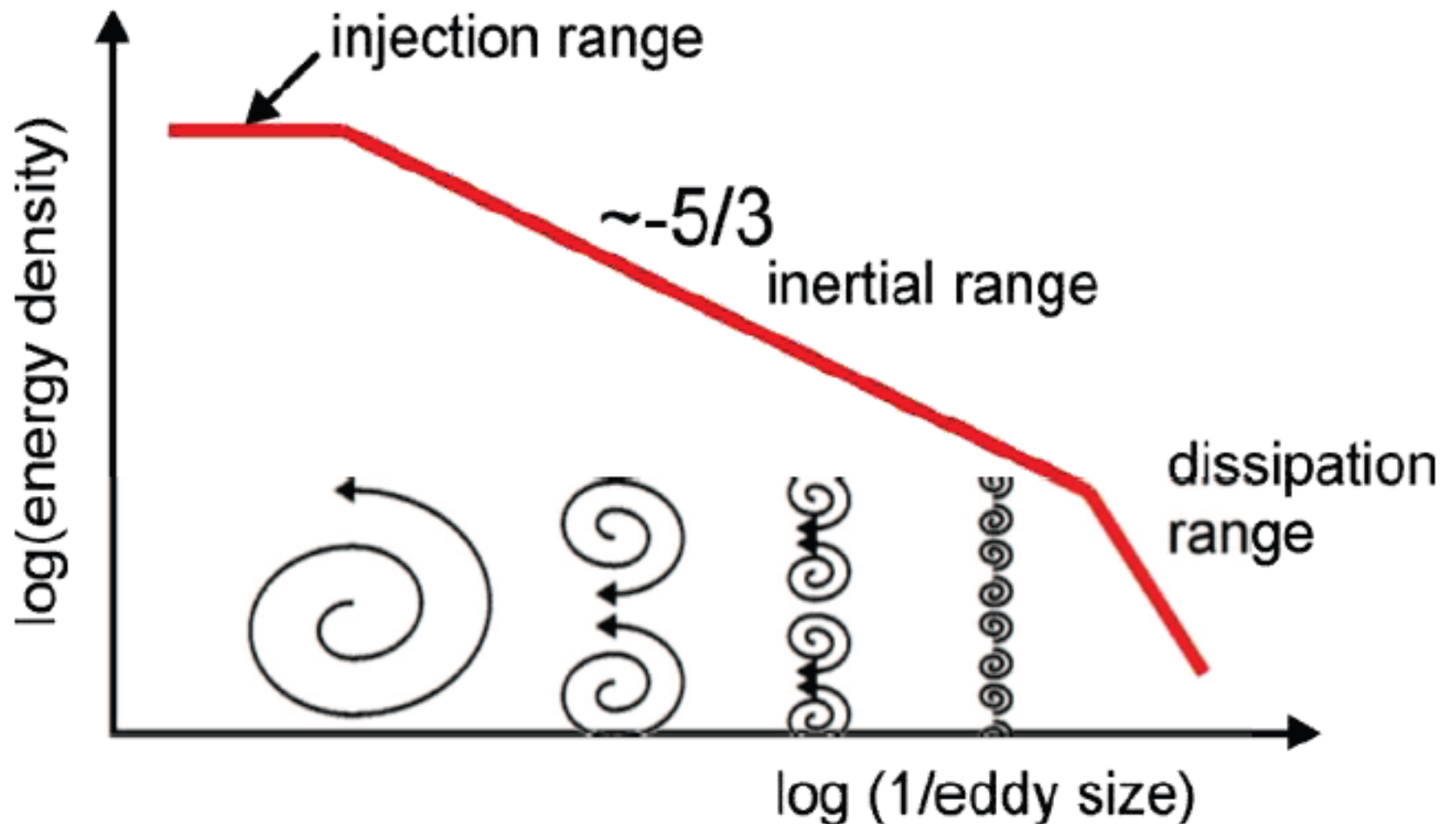
R_e increase
→



Energy transfer between turbulent scales

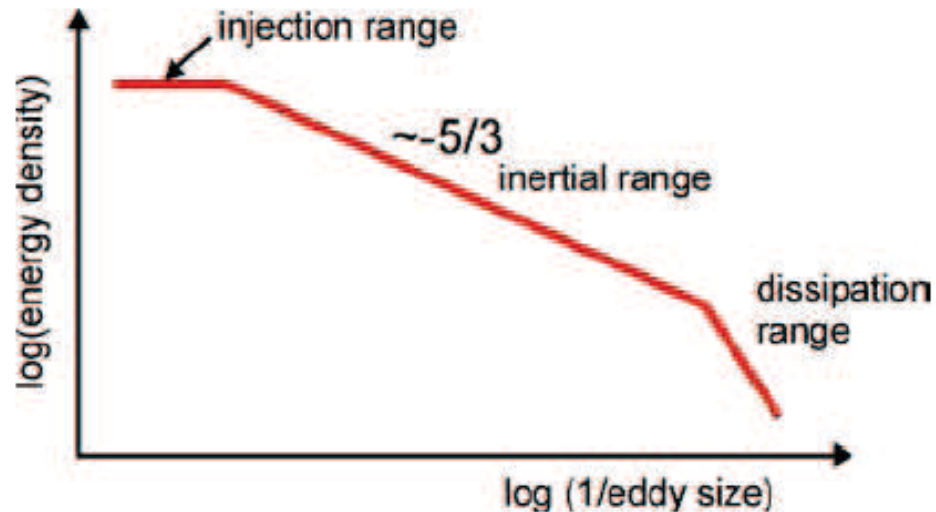
K41 Theory, Andrei Kolmogorov 1941:

In 3D turbulence the energy follows a direct cascade

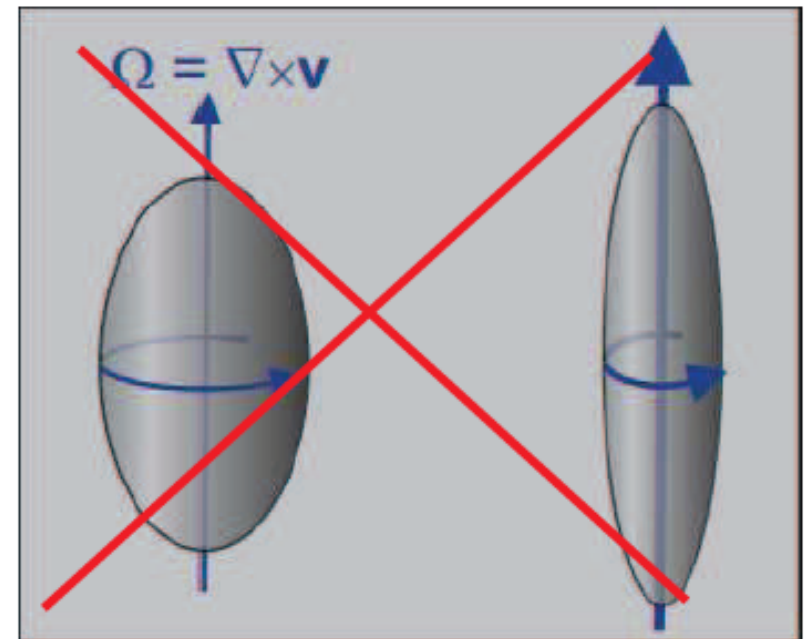


Magnetized plasmas behave like a 2d-fluid

- ▶ K41 theory for 3D turbulence



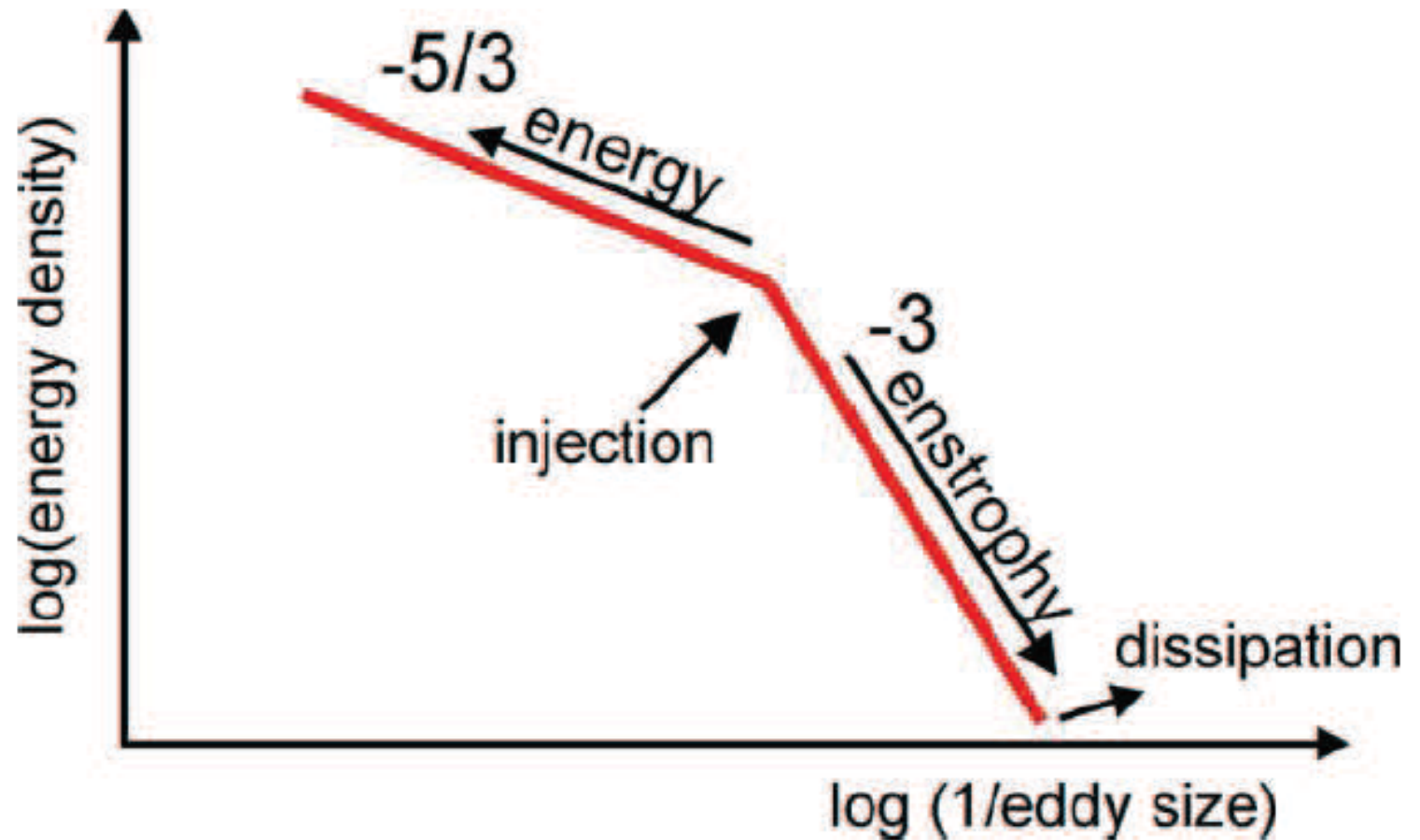
- ▶ Eddy stretching as source of vorticity



... is not allowed in 2D turbulence
 \Rightarrow vorticity is conserved

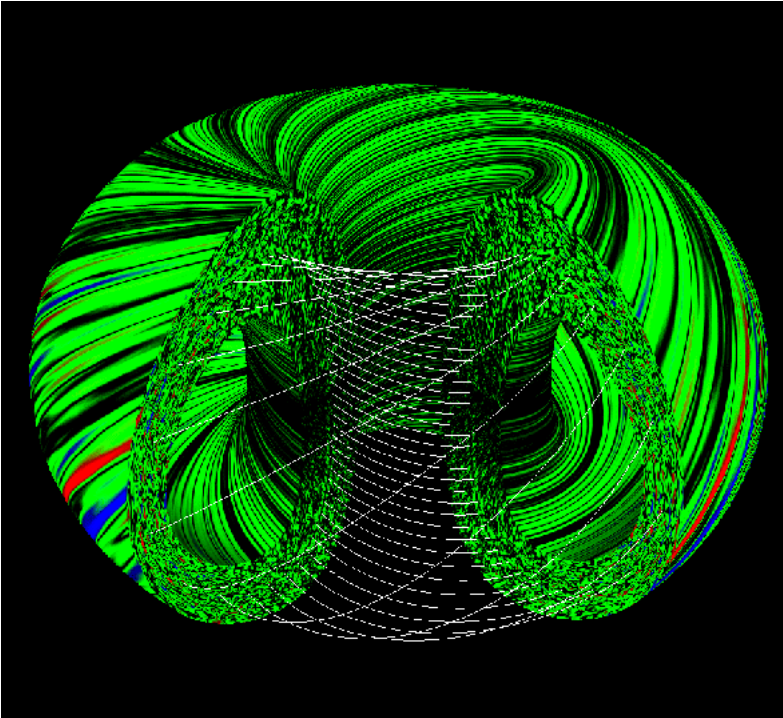
Dual cascade in 2d turbulence

The dual cascade by R. Kraichnan 1967



Energy transferred to large scales \Rightarrow "infrared catastrophe"

Fluctuations in a fusion plasma



- Fluctuations in all quantities (n, T, B, \dots)
- Fluctuations extended along magnetic field lines
- Perpendicular to magnetic field lines size much smaller than system size
- Fluctuation frequency much smaller than gyro-frequency

fluctuations in a plasma

Measured density fluctuations:

$$1 \text{ kHz} \lesssim f \lesssim 100 \text{ kHz} \quad \tilde{n}_e/n_e \sim 10^{-3} - 10^{-2}$$

What defines the amplitude?

Fluctuations are driven by gradients, thus saturation due to local flattening of gradients

Fluctuation stops for $|\nabla \tilde{n}_e| \sim |\nabla n_{e0}|$

$$|\nabla \tilde{n}_e| \sim k_{\perp} \tilde{n}_e \quad |\nabla n_{e0}| \sim n_{e0}/L_n$$

$$L_n \equiv n_e/|\nabla n_e|$$

$$\frac{\tilde{n}_e}{n_{e0}} \sim \frac{1}{k_{\perp} L_n} = \frac{1}{k_{\perp} \rho_s} \frac{\rho_s}{L_n}$$

fluctuations in a plasma

Measured density fluctuations:

$$1 \text{ kHz} \lesssim f \lesssim 100 \text{ kHz} \quad \tilde{n}_e/n_e \sim 10^{-3} - 10^{-2}$$

$$\frac{\tilde{n}_e}{n_{e0}} \sim \frac{1}{k_{\perp} L_n} = \frac{1}{k_{\perp} \rho_s} \frac{\rho_s}{L_n}$$

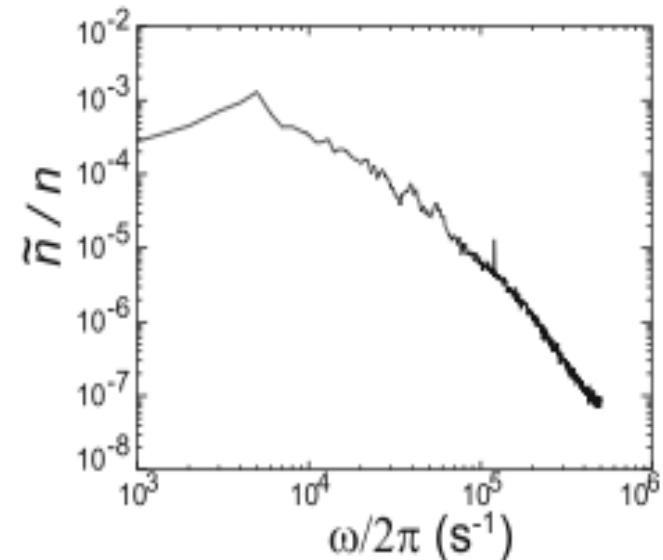
$$k_{\perp} \rho_s \sim 0.1 - 0.3,$$

$$\tilde{n}_e/n_{e0} \sim (3 - 10) \rho_s/L_n$$

$$\rho_s \equiv c_s/\Omega_i \text{ (mit } c_s^2 = k_B T_e/m_i)$$

Density fluctuations particularly strong at plasma edge where L_n small

ion gyro-radius with electron temperature



fluctuations in a plasma

Measured density fluctuations:

$$k_{\perp} \rho_s \sim 0.1 - 0.3$$

- Extremely anisotropic: in parallel direction about $10^3 \dots 10^4$ times larger extent than in perpendicular direction
- Temperature fluctuations are more difficult to measure, but similar
- B-field fluctuations perpendicular to B, very small parallel to B:

$$\tilde{B}_{\perp}/B_0 \sim 10^{-5} - 10^{-4}$$

Fluctuation induced transport

Radial particle transport due to fluctuating electric and magnetic fields:

$$\tilde{v}_r = \tilde{v}_{Er} + v_{\parallel} \tilde{B}_r / B_0$$

Velocity distribution function for electrons: perturbed Maxwell-distribution:

$$f_{e0} = n_{e0} \left(\frac{m_e}{2\pi k_B T_{e0}} \right)^{3/2} \exp \left[-\frac{mv^2}{2k_B T_{e0}} \right] \quad f_e = f_{e0} + \tilde{f}_e, \quad \tilde{f}_e \ll f_{e0}$$

Particle transport:

$$\Gamma \equiv \int \tilde{v}_r \tilde{f}_e d^3 v = \tilde{v}_{Er} \int \tilde{f}_e d^3 v + (\tilde{B}_r / B_0) \int v_{\parallel} \tilde{f}_e d^3 v$$

Moments of perturbed distribution function (linearised):

$$\int \tilde{f}_e d^3 v = \tilde{n}_e \quad \int v_{\parallel} \tilde{f}_e d^3 v = n_{e0} \tilde{u}_{e\parallel}$$

Fluctuation induced transport

Moments of perturbed distribution function (linearised):

$$\int \tilde{f}_e d^3 v = \tilde{n}_e \quad \int v_{\parallel} \tilde{f}_e d^3 v = n_{e0} \tilde{u}_{e\parallel}$$

Finite particle transport due to fluctuating fields only if there is a phase relation between density and velocity fluctuations (time and space averaging $\langle \rangle$):

$$\langle \Gamma \rangle = \langle \tilde{n}_e \tilde{v}_{Er} \rangle + n_{e0} \langle \tilde{u}_{e\parallel} \tilde{B}_r \rangle / B_0$$

$$\langle \tilde{n}_e \rangle = \langle \tilde{u}_{e\parallel} \rangle = \langle \tilde{v}_{Er} \rangle = \langle \tilde{B}_r \rangle = 0$$

Heat flux (due to electrons):

$$\langle Q_e \rangle = \frac{3}{2} k_B T_{e0} \langle \Gamma \rangle + \frac{3}{2} n_{e0} k_B \langle \tilde{T}_e \tilde{v}_{Er} \rangle + \langle \tilde{q}_{e\parallel} \tilde{B}_r \rangle / B_0 + p_{e0} \langle \tilde{u}_{e\parallel} \tilde{B}_r \rangle / B_0$$

Drift waves

Homogeneous magnetic field in z-direction: $\mathbf{B} = B\mathbf{e}_z$

Force balance for electrons: $\nabla p_e + en_e(\mathbf{E} + \mathbf{v} \times \mathbf{B}) = 0$

Small B-field perturbation, static equilibrium, ideal plasma:

Electrostatic fluctuations $\mathbf{E} = -\nabla\tilde{\phi}$

Small density fluctuations $n_e = n_{e0} + \tilde{n}_e, \tilde{n}_e \ll n_{e0}$

Slowly varying background profiles:

$$p_e = n_e k_B T_{e0} \quad \nabla n_{e0} = -(n_{e0}/L_n) \hat{\mathbf{x}}$$

Drift waves

Parallel component of force balance: $\nabla_{\parallel} p_e = -en_e E_{\parallel}$

No equilibrium pressure gradient along magnetic field lines:

$$\nabla_{\parallel} p_e = \nabla_{\parallel} \tilde{p}_e = T_{e,0} \nabla_{\parallel} \tilde{n}_e$$

Isothermal (no temperature gradient along field lines)

$$-en_{e,0} E_{\parallel} = en_{e,0} \nabla_{\parallel} \tilde{\phi}$$

Boltzmann-relation: Electron density perturbation leads to potential perturbation (no phase delay!)

$$T_{e,0} \nabla_{\parallel} \tilde{n}_e = en_{e,0} \nabla_{\parallel} \tilde{\phi}$$

$$\boxed{\frac{\tilde{n}_e}{n_{e0}} = \frac{e\tilde{\phi}}{T_{e0}}}$$

Drift waves

Force balance for electrons: $\nabla p_e + en_e(\mathbf{E} + \mathbf{v} \times \mathbf{B}) = 0$ $\left| \times \vec{B} / (en_e B^2) \right.$

Perpendicular component of force balance:

$$\mathbf{v}_\perp = \frac{\mathbf{E} \times \mathbf{B}}{B^2} + \frac{\nabla p_e \times \mathbf{B}}{en_{e0} B^2} \equiv \mathbf{v}_E + \mathbf{v}_{de}$$

Linearised continuity equation
(static equilibrium: $v_0=0$):

$$\partial_t \tilde{n}_e + \nabla \cdot (n_{e0} \mathbf{v}_\perp) = 0$$

$$\nabla \cdot (n_{e0} \mathbf{v}_{de}) \propto \nabla \cdot (\nabla p_e \times \hat{\mathbf{z}}) = 0$$

$$\nabla \cdot \mathbf{v}_E \propto \nabla \cdot (\nabla \tilde{\phi} \times \hat{\mathbf{z}}) = 0$$

$$\partial_t \tilde{n}_e + \mathbf{v}_E \cdot \nabla n_{e0} = \partial_t \tilde{n}_e - (n_{e0}/L_n) v_{Ex} = 0$$

Drift waves

$$\partial_t \tilde{n}_e + \mathbf{v}_E \cdot \nabla n_{e0} = \partial_t \tilde{n}_e - (n_{e0}/L_n) v_{Ex} = 0$$

Ansatz for perturbation: $\tilde{n}_e \sim \tilde{\phi} \sim \exp(i\vec{k}\vec{y} - i\omega t)$

$$-i\omega \tilde{n}_e = \frac{n_{e,0}}{L_n} v_{Ex} \quad v_{Ex} = \frac{\vec{E} \times \vec{B}}{B^2} = \frac{-\nabla \phi_y}{B}$$

$$-i\omega \tilde{n}_e = -i \frac{n_{e,0}}{L_n} \frac{k_y \tilde{\phi}_y}{B} \quad \frac{\tilde{n}_e}{n_{e0}} = \frac{e\tilde{\phi}}{T_{e0}}$$

$$\omega_D = \frac{k_y T_{e0}}{eBL_n}$$

Phase velocity:

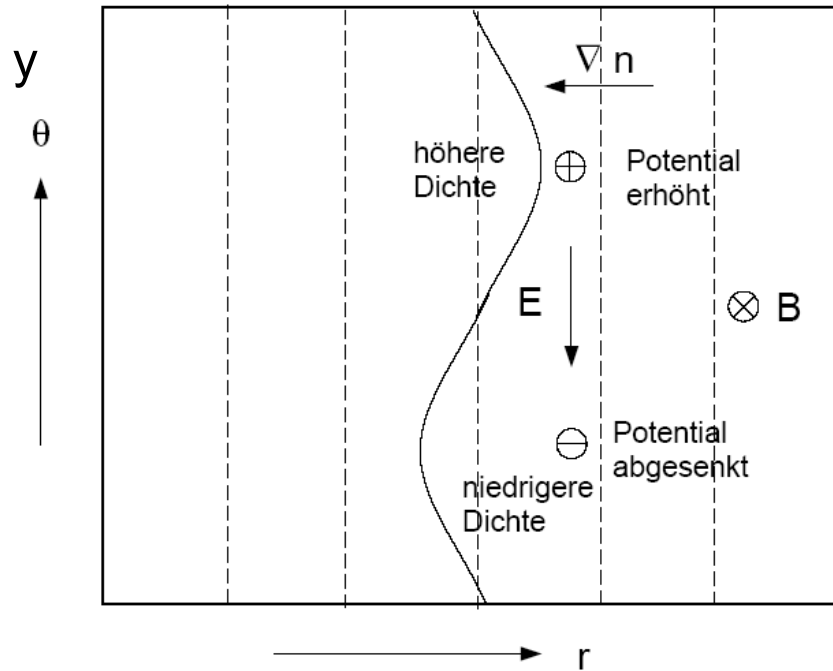
$$\frac{\omega_D}{k_y} = \frac{\partial \omega_D}{\partial k_y} = |v_{de}|$$

Drift waves

$$\partial_t \tilde{n}_e + \mathbf{v}_E \cdot \nabla n_{e0} = \partial_t \tilde{n}_e - (n_{e0}/L_n) v_{Ex} = 0$$

$$\omega_D = \frac{k_y T_{e0}}{eBL_n}$$

$$\frac{\omega_D}{k_y} = \frac{\partial \omega_D}{\partial k_y} = |v_{de}|$$



Propagation in y direction

Drift waves in an ideal plasma are marginally stable (no damping, no instability)

With collisions (or Landau damping)
(delayed parallel response):

$$\frac{\tilde{n}_e}{n_{e0}} = \frac{e\tilde{\phi}}{T_{e0}} (1 - i\delta)$$

-> complex frequency, drift waves are unstable

$$\omega_D \rightarrow \frac{\omega_D}{1 - i\delta} \approx \omega_D (1 + i\delta)$$

Drift waves grow linearly, until non-linearities play important role:

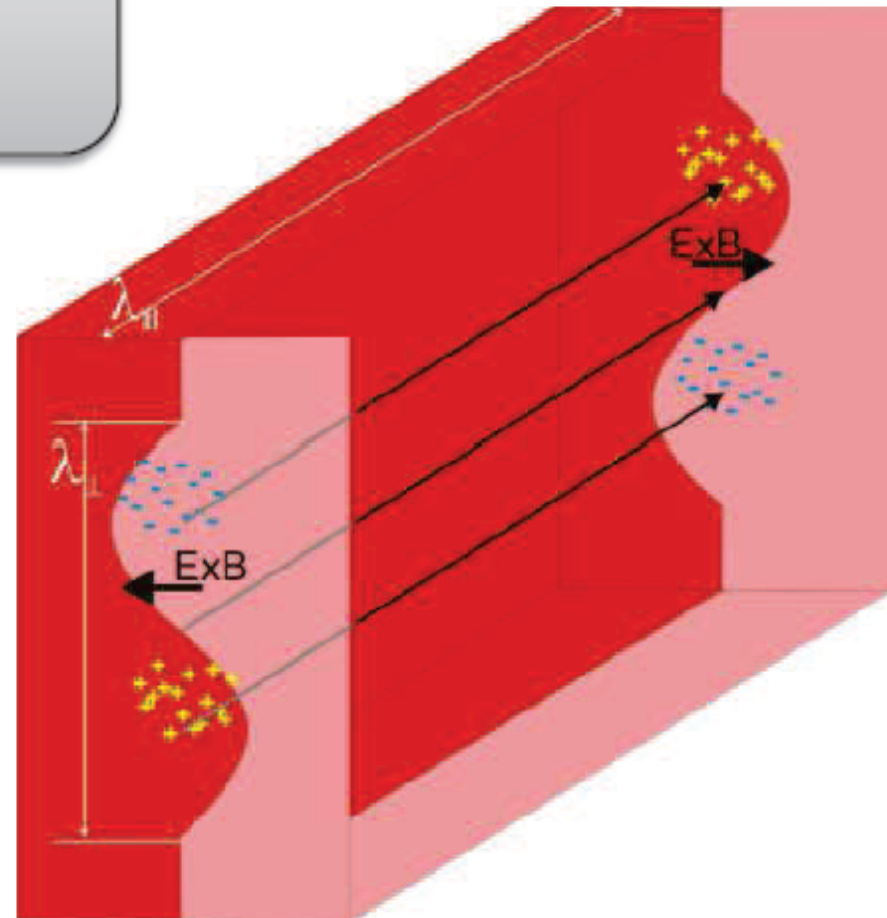
$$\partial_t \tilde{n}_e + \mathbf{v}_E \cdot \tilde{\mathbf{n}}_e = \dots$$

Numerical treatment – large scale turbulence codes

Drift wave instability

Perturbations are

- ▶ with finite parallel wave length
- ▶ $\text{phase}(n, \phi) = 0$
- ▶ destabilised by resistivity



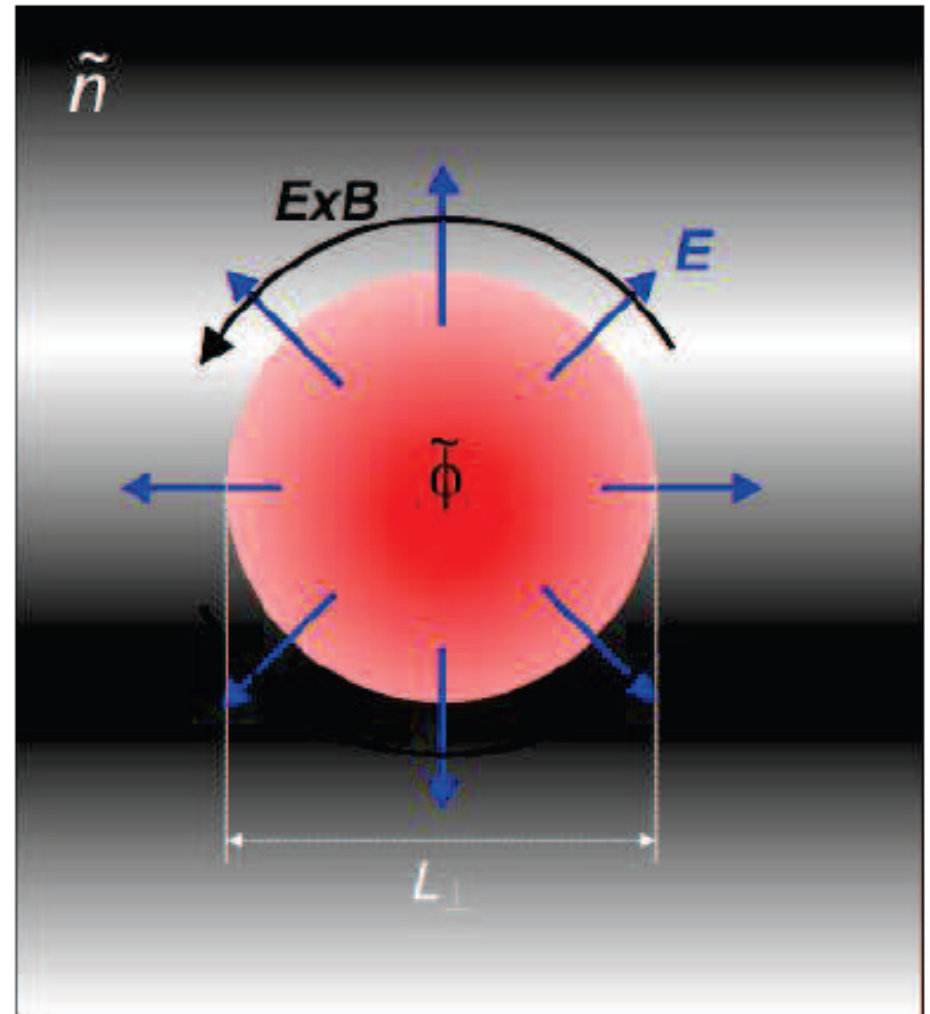
Electrostatic transport

Diffusion from random walk:

- ▶ step size: $L_{\perp} = 2\pi/k_{\perp}$
- ▶ linear growth rate γ
- ▶ step time: $\tau = 1/\gamma$

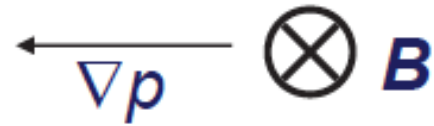
Mixing length estimate of the diffusion coefficient:

$$D \sim \frac{\gamma}{k_{\perp}^2}$$



Fully developed turbulence

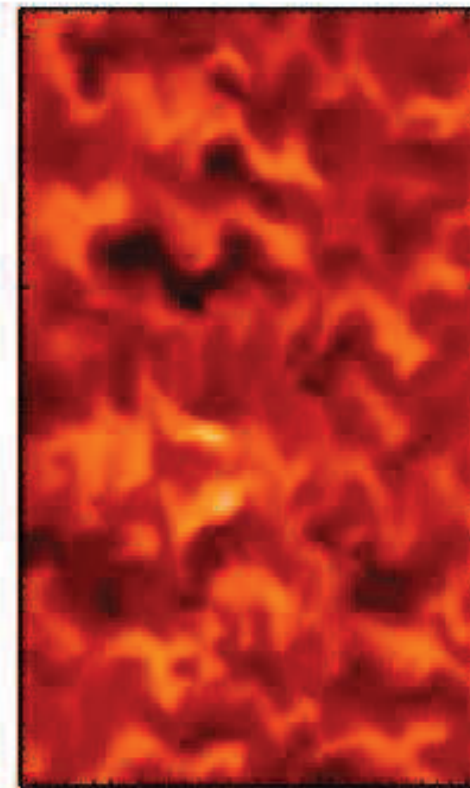
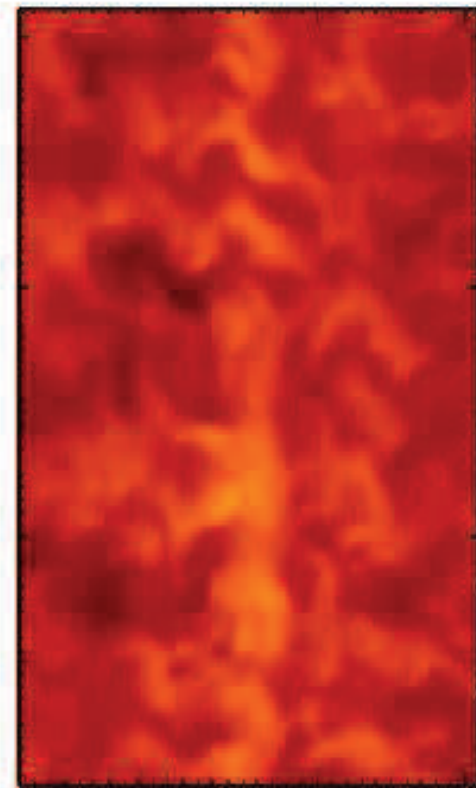
DALF3 simulation



Potential

Density

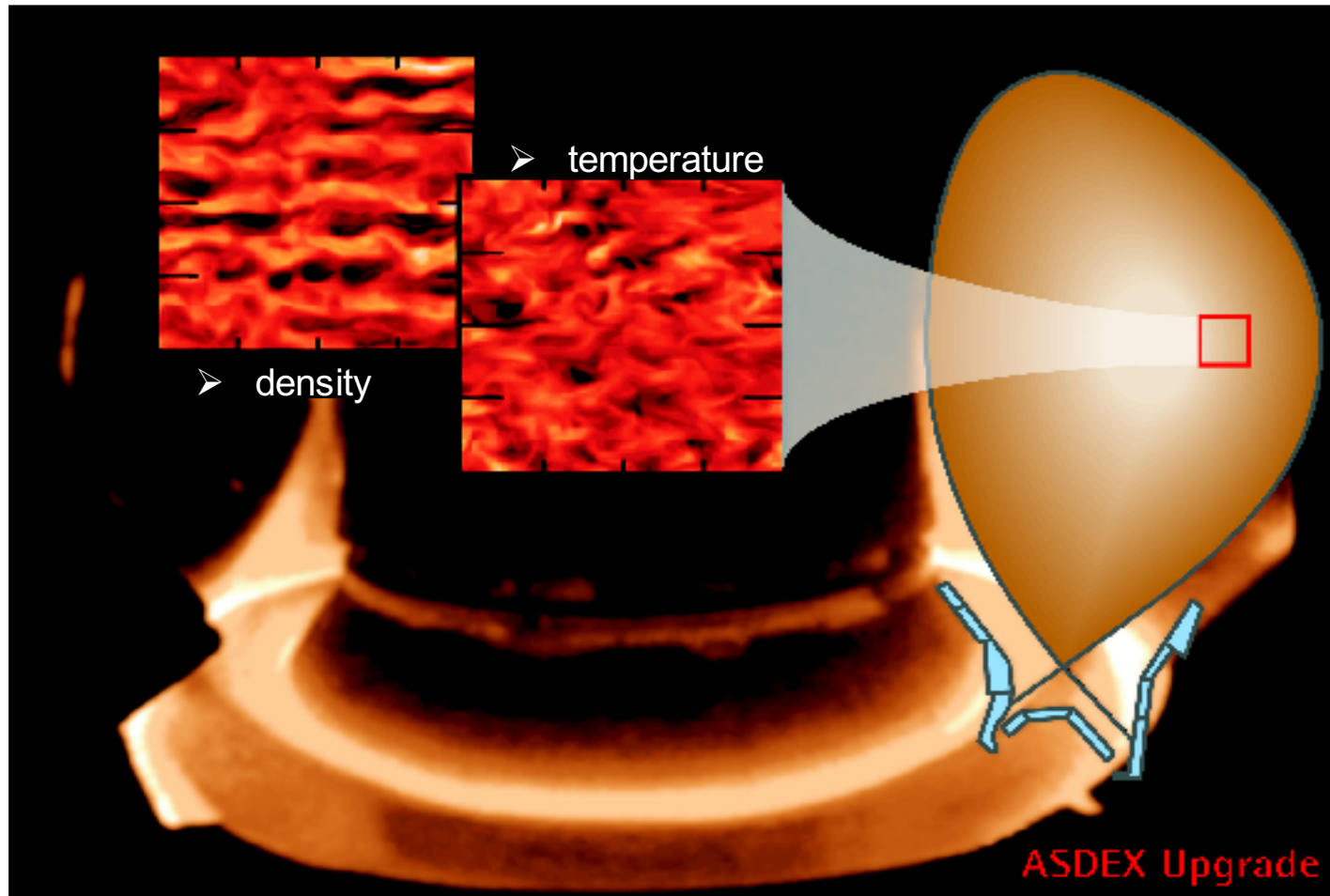
poloidal \uparrow



radial \rightarrow

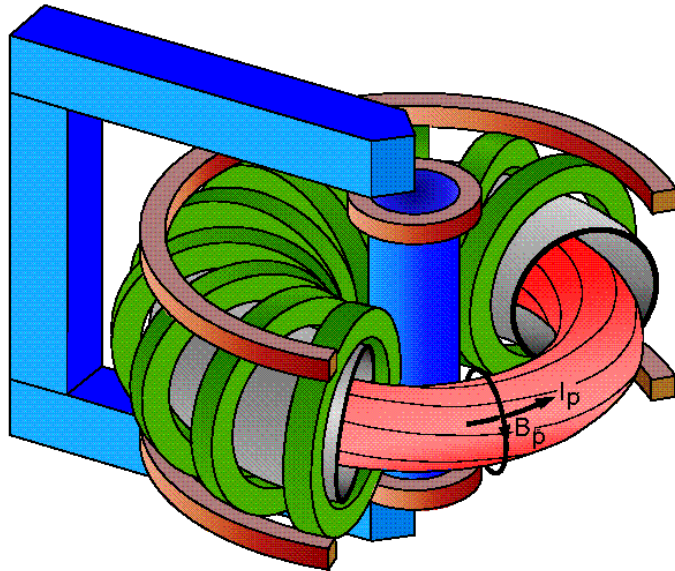
radial \rightarrow

Turbulence simulations for ASDEX Upgrade

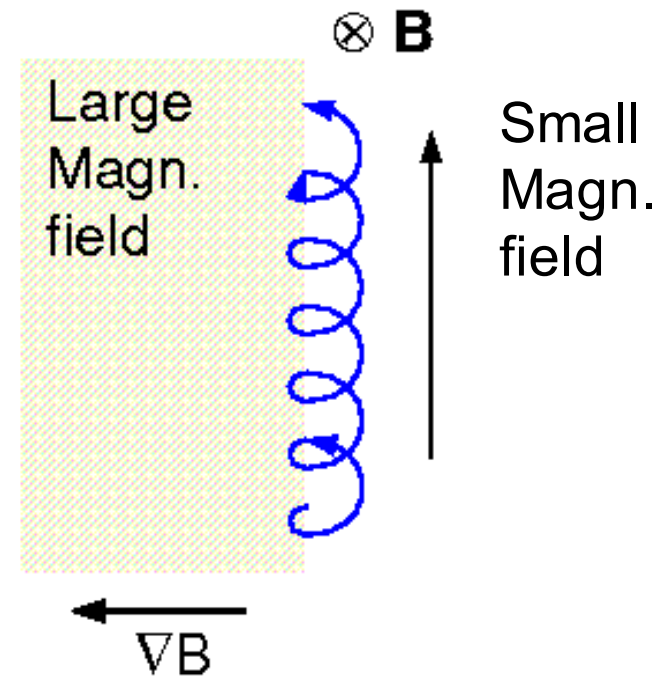
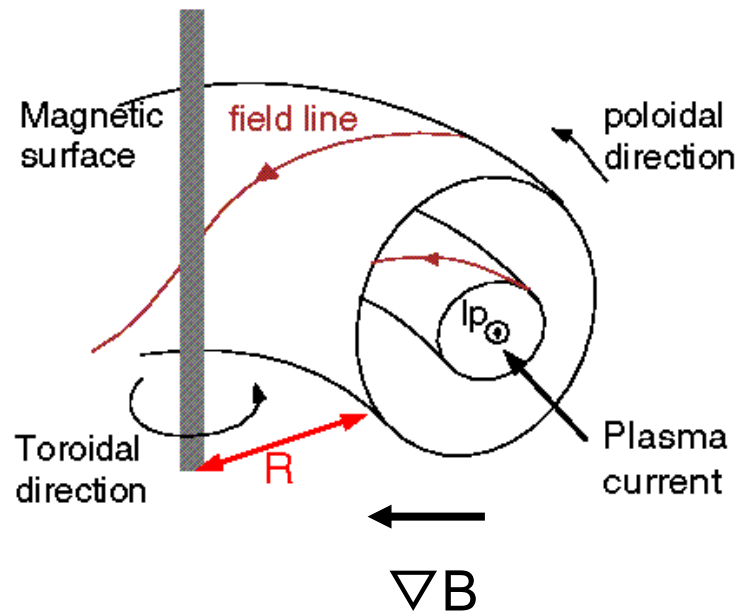


- radial extension of eddies: 1 - 2 cm
- typical life time: 0.5 - 1 ms
- Anomalous transport-coefficients are of order of the measured ones:
~1 m²/s

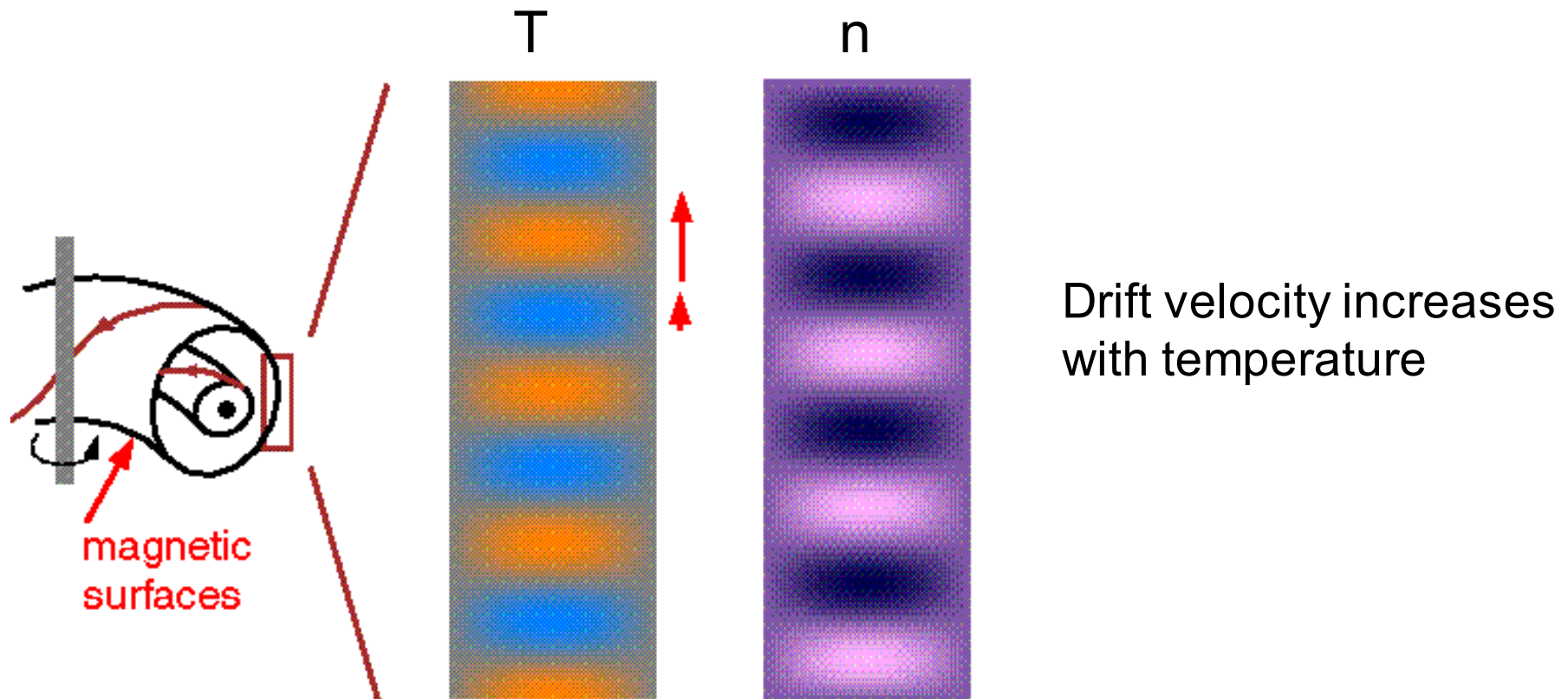
Drift in inhomogeneous magnetic fields



Magnetic field in toroidal geometry is inhomogeneous



Drift in inhomogeneous magnetic field is temperature dependend

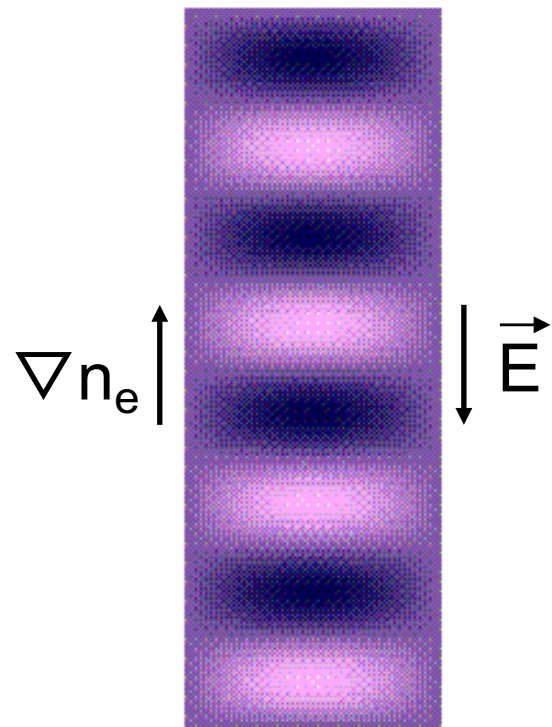


Initial temperature perturbation leads to density perturbation (90° phase shifted)

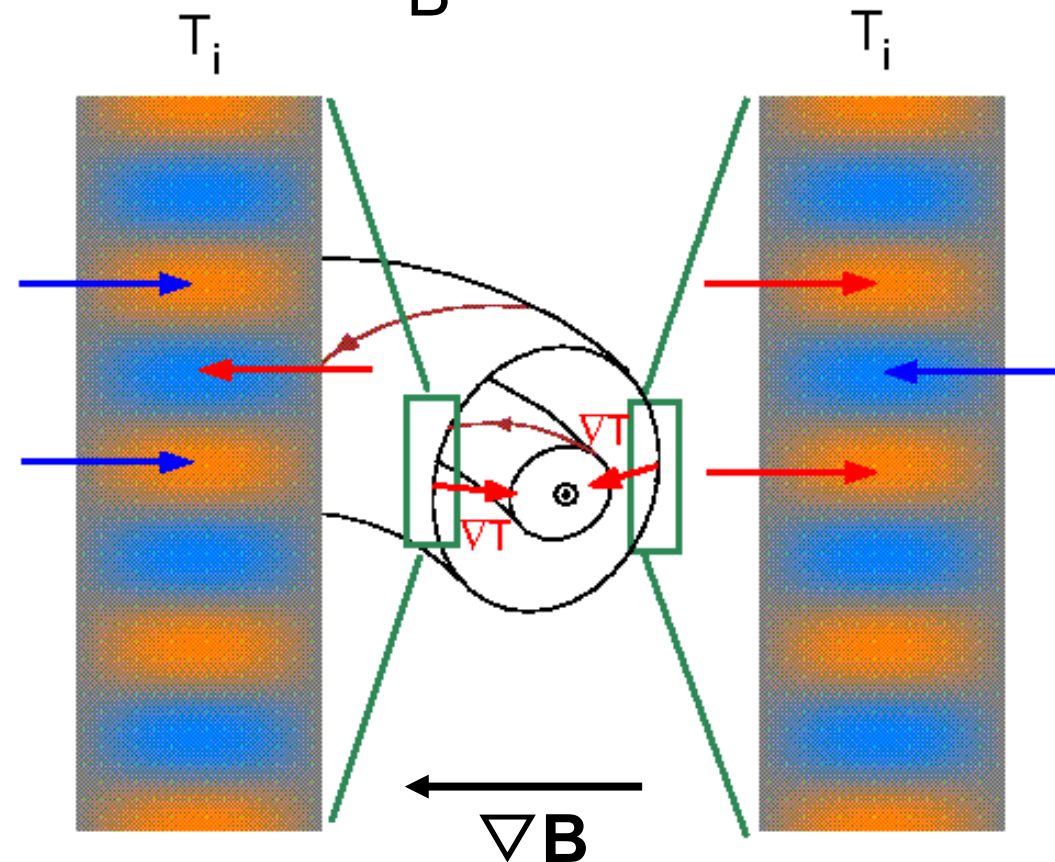
ITG mode (ion temperature gradient mode)

$$\vec{E} = - \frac{T \nabla n_e}{e n_e}$$

$$\vec{v}_E = - \frac{1}{B^2} \vec{B} \times \vec{E}$$



density perturbation
causes potential perturbation

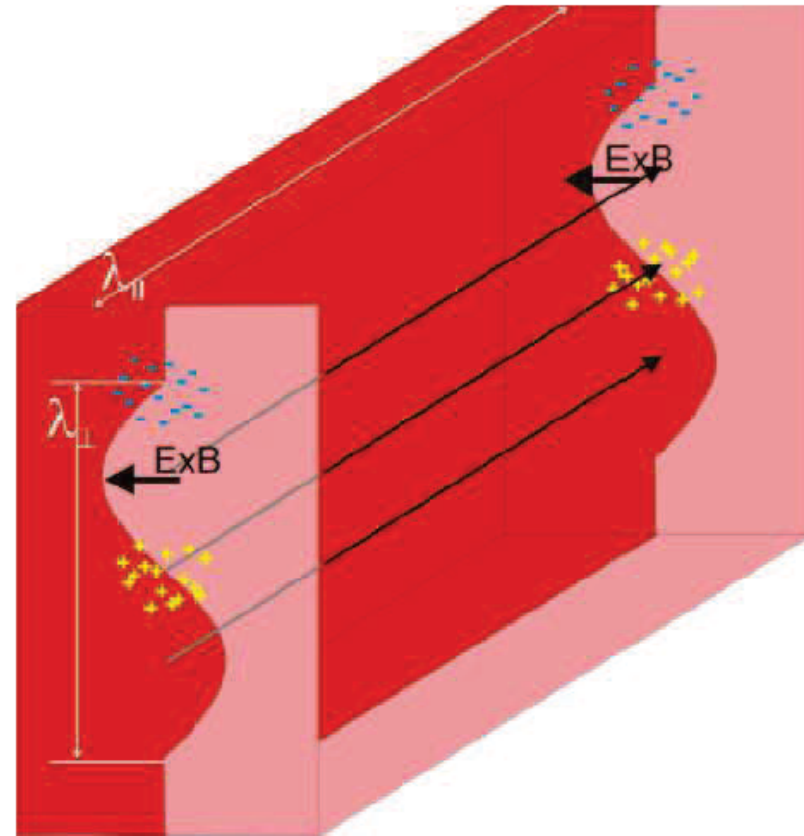


Resulting $E \times B$ drift amplifies initial
perturbation at low B-field side

ITG mode (ion temperature gradient mode)

Perturbations are

- ▶ constant on field line
- ▶ with cross-phase $(n, \phi) = \pi/2$
- ▶ destabilised by curvature

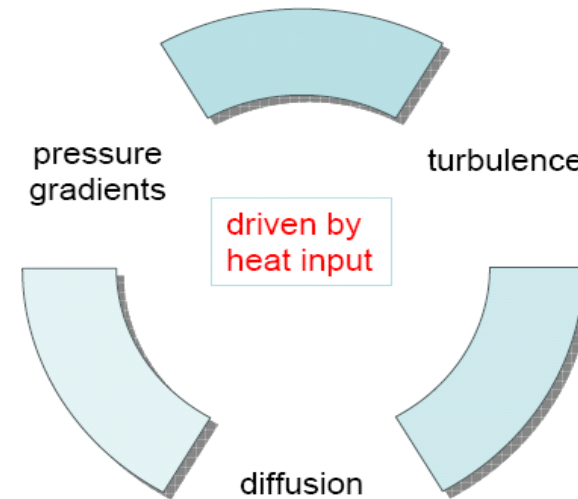
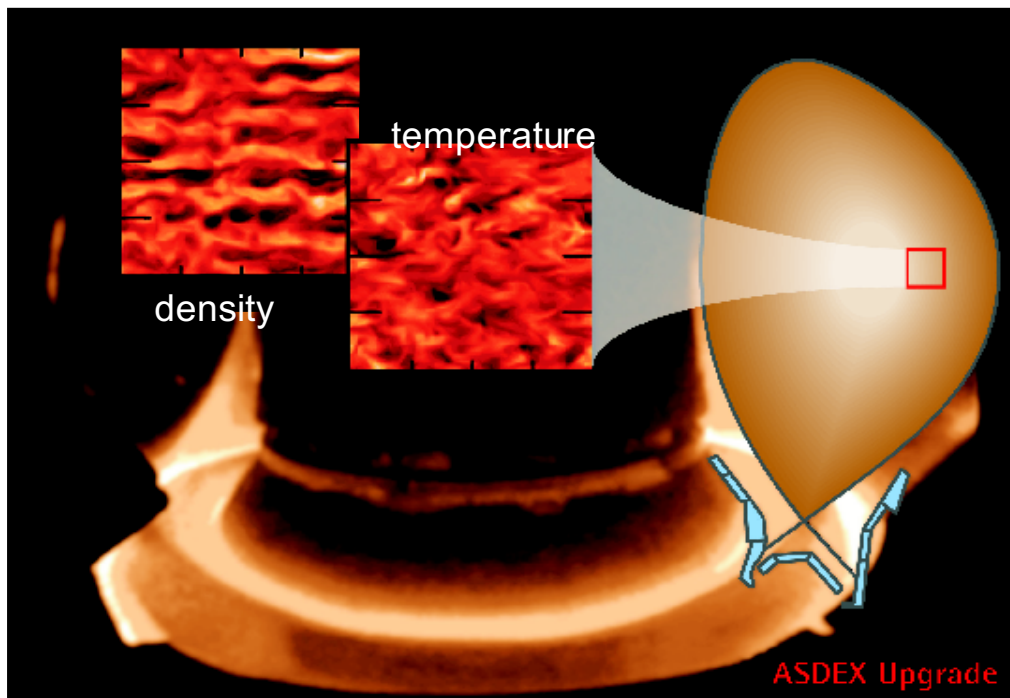


Understanding of the turbulent transport

Ab-initio physics models



High performance computing



- geometry important
- extremely anisotrop
- kinetic effects

ITG causes 'stiff' temperature profiles



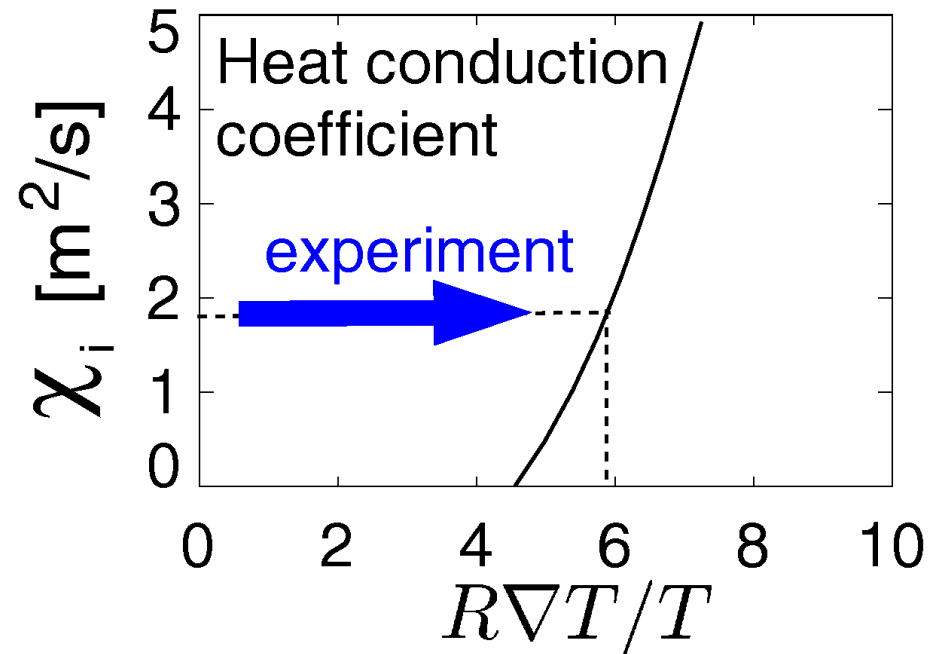
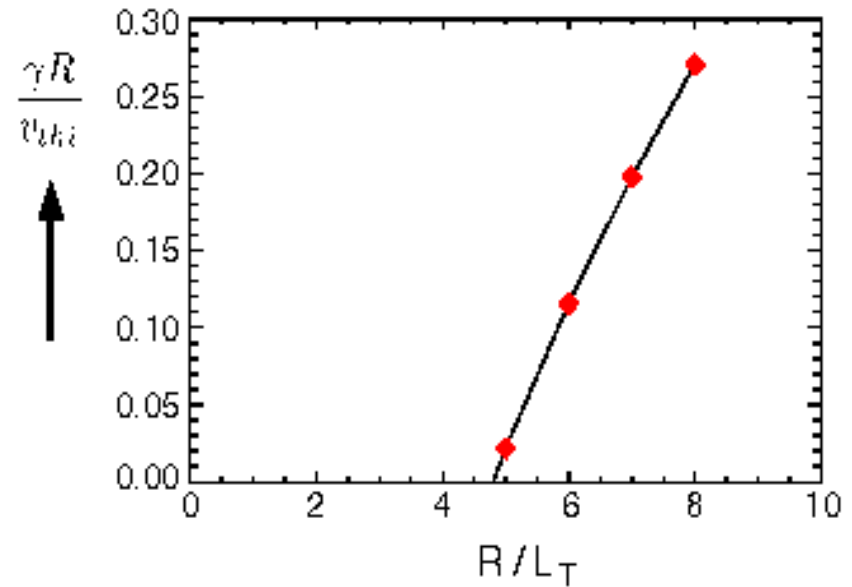
Critical temperature gradient:
Mode grows exponentially above
this threshold

$$\frac{1}{L_T} = \left| \frac{\nabla T}{T} \right| > \frac{1}{L_{T,cr}}$$

ITG causes strong enhancement
of radial transport

$$\frac{d \ln T}{dr} = \frac{\nabla T}{T} = - \frac{1}{L_{T,cr}}$$

$$\int \frac{dT}{T} = \int_a^b - \frac{dr}{L_{T,cr}}$$



Turbulent transport rises with T gradient

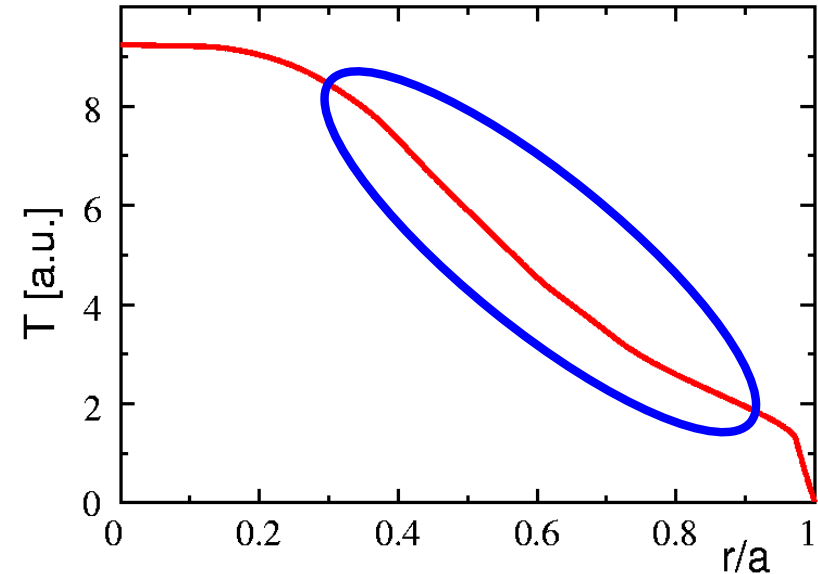


$$\int \frac{dT}{T} = \int_a^b -\frac{dr}{L_{T,cr}}$$

$$\ln T = -\frac{r}{L_{T,cr}}$$

$$\ln T(b) - \ln T(a) = \frac{a - b}{L_{T,cr}}$$

$$\ln \left(\frac{T(b)}{T(a)} \right) = \frac{a - b}{L_{T,cr}}$$



$$T(b) = T(a)e^{(a-b)/L_{T,cr}}$$

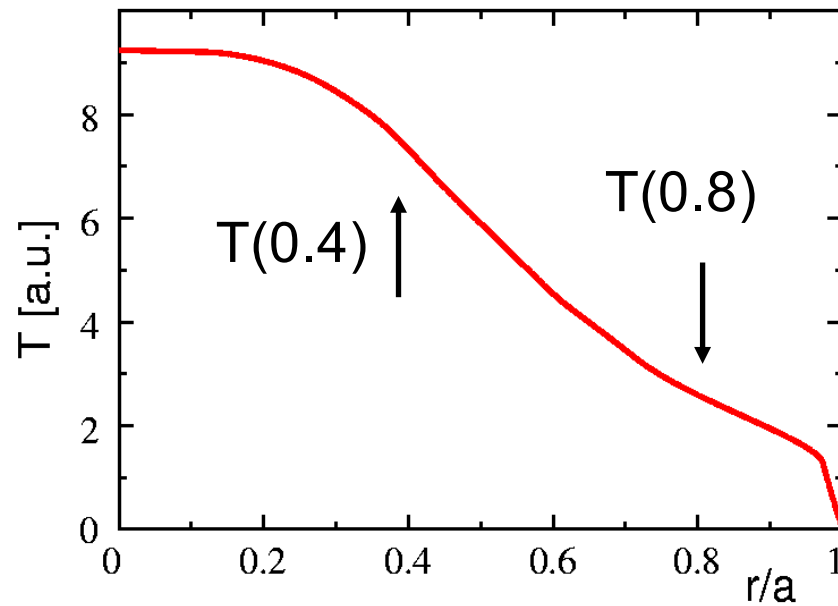
A certain critical T gradient cannot be exceeded – independent from heating power

“stiff” temperature profiles

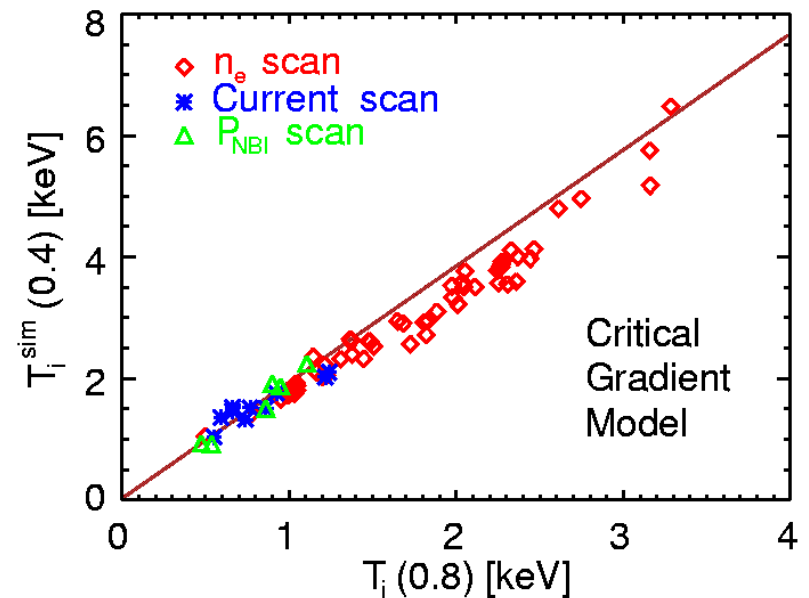
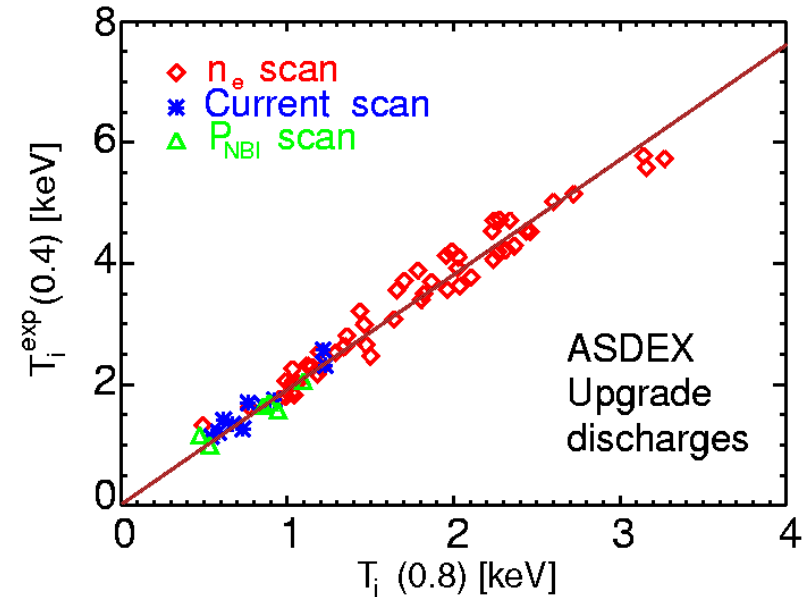
“stiff” temperature profiles in theory and experiment



confirmed by experiment:
temperature at half radius is
proportional to edge temperature



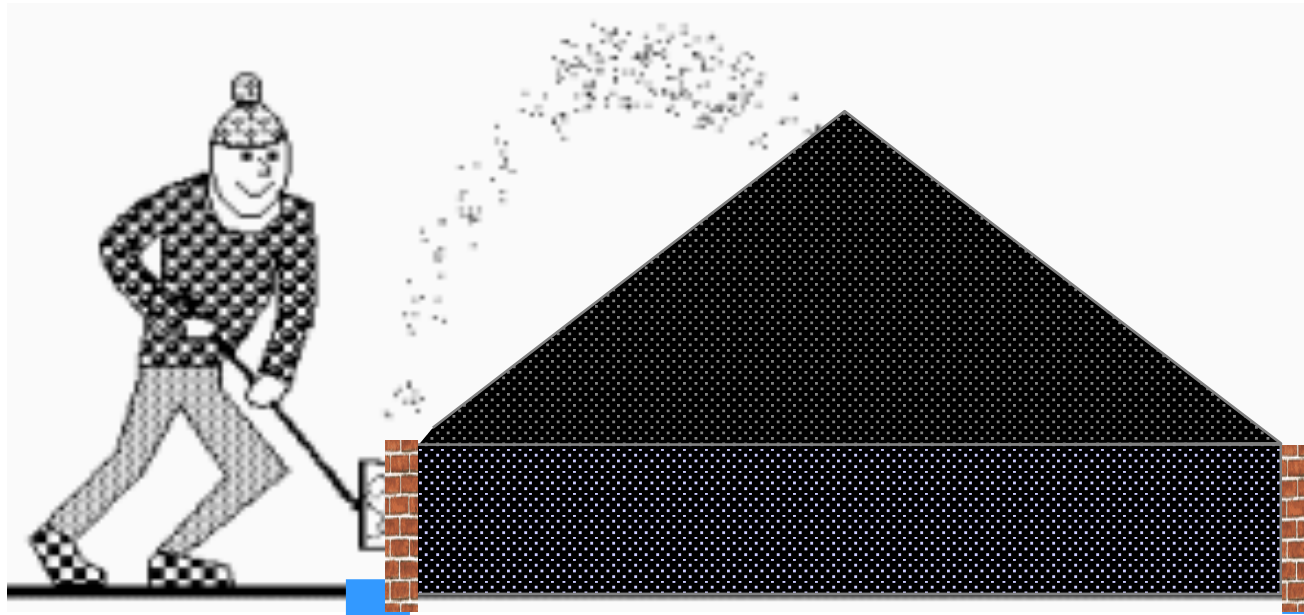
modeling agrees with experiment



“Stiff” profiles and transport barriers

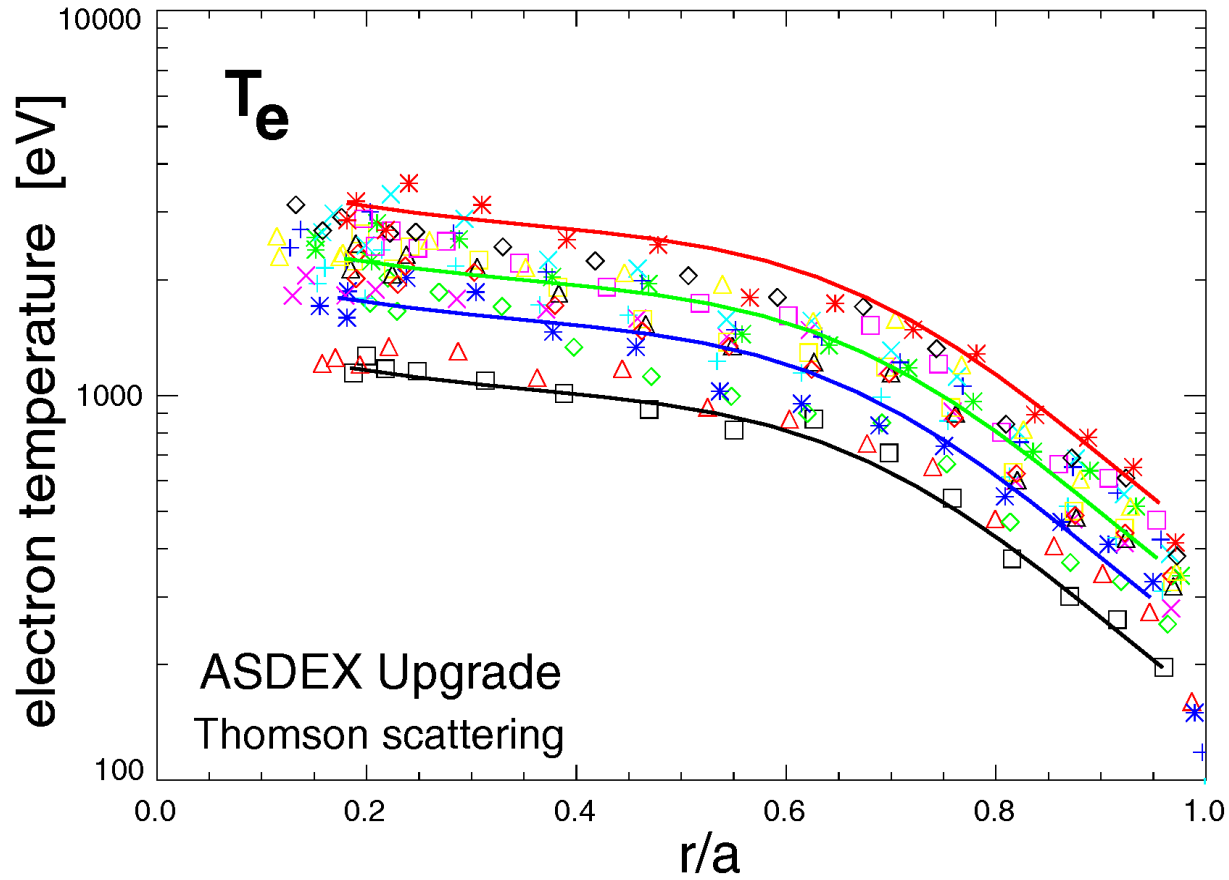
Turbulent transport limits (logarithmic) gradient of temperature profiles

Analogous to sand-pile: gradient limited



but height can be varied by “barriers”

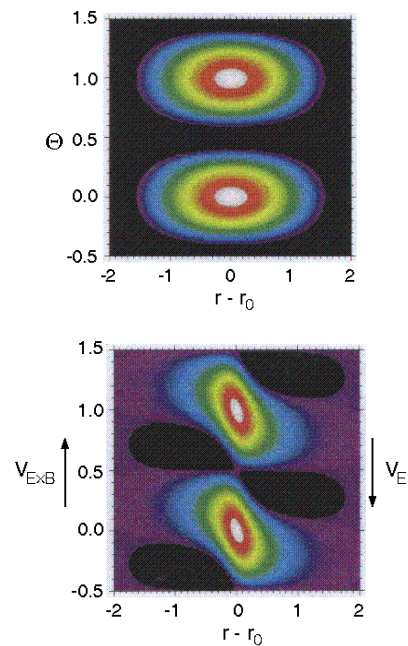
Central temperature is determined by edge temperature



Turbulence is suppressed by sheared rotation



Macroscopic sheared rotation tilts eddies and tears them apart



radial transport is proportional to eddy size

Sheared rotation is self generated
(Reynolds stress)

**Gyrokinetic Simulations
of Plasma Microinstabilities**

simulation by

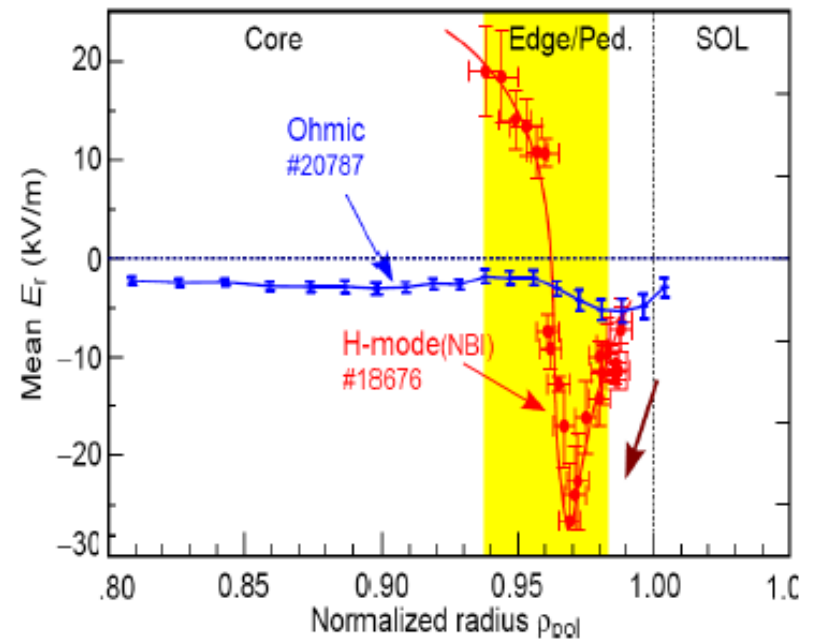
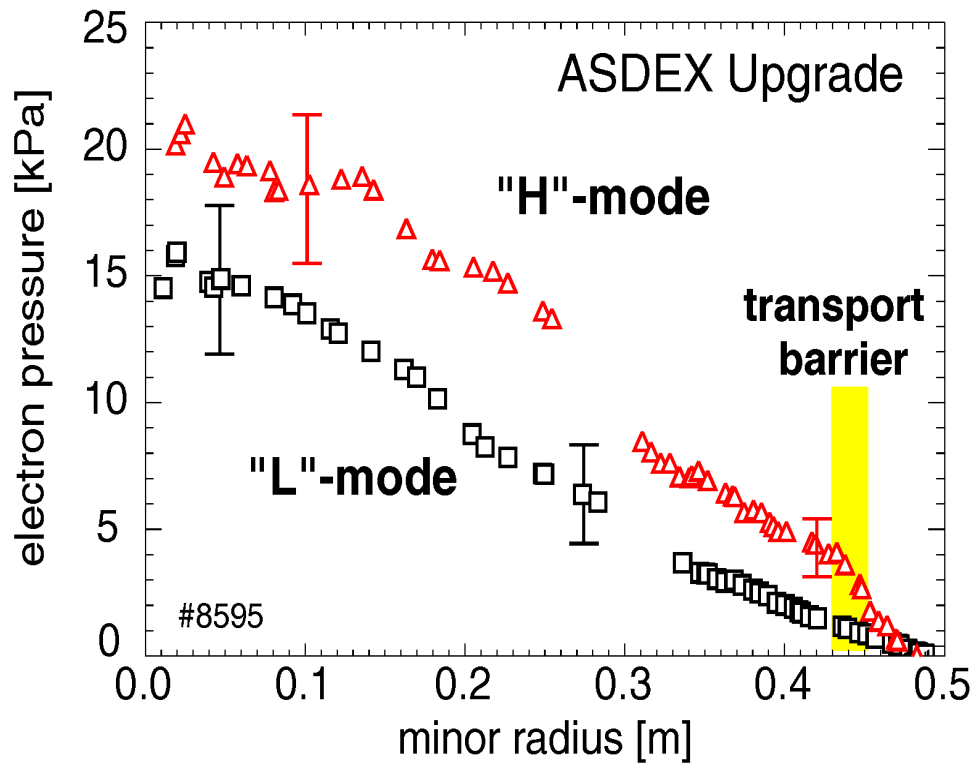
Zhihong Lin et al.

Science 281, 1835 (1998)

Transport barriers due to turbulence suppression



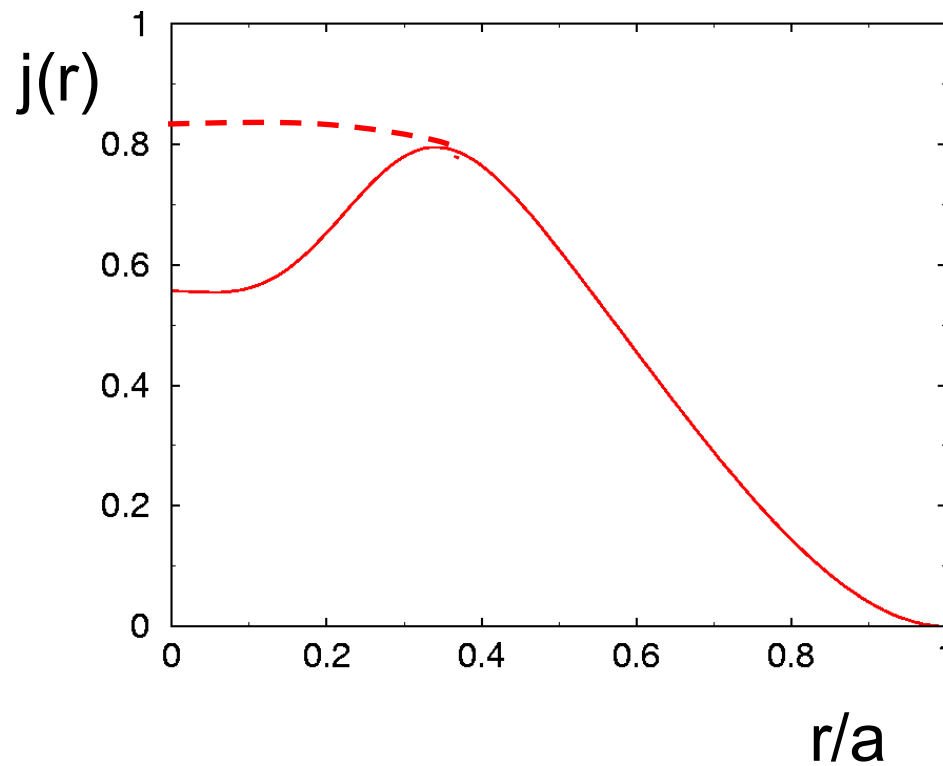
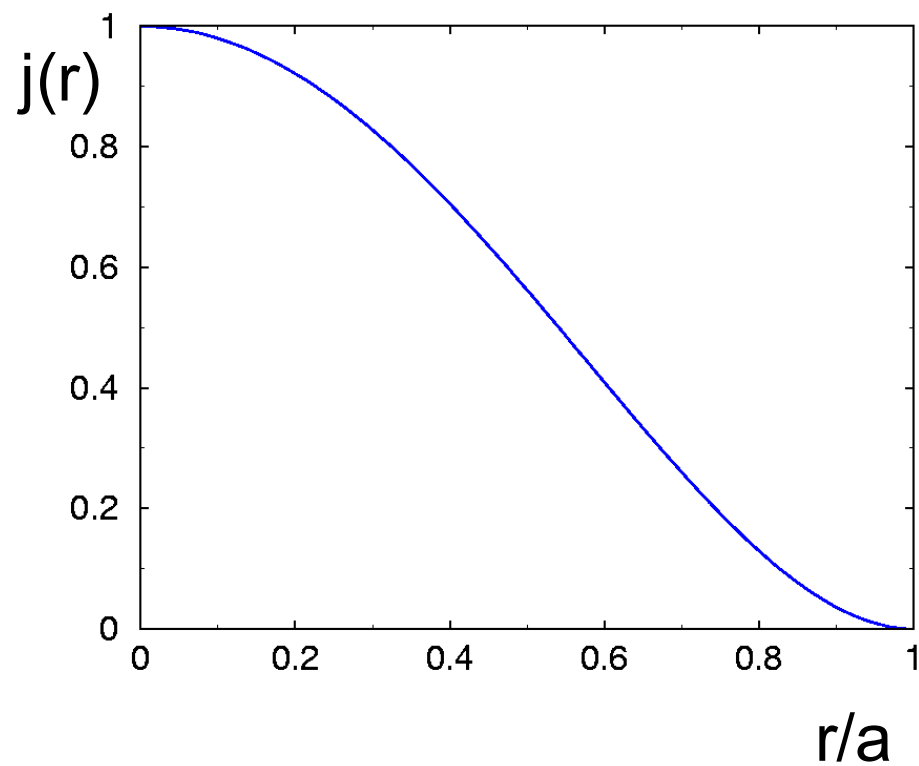
conventional Tokamak



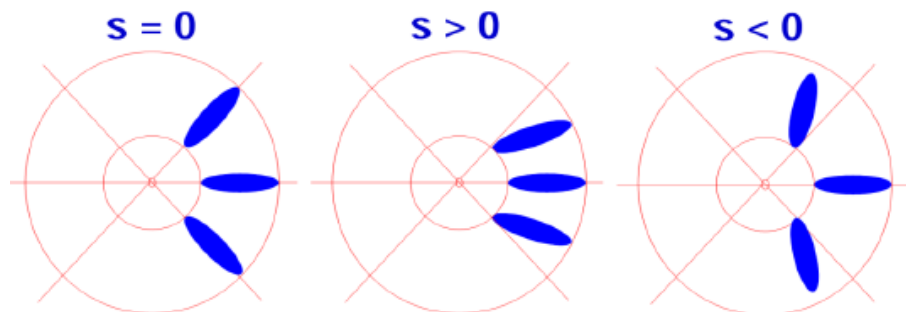
Turbulence suppression is most effective for non-monotonous current profiles



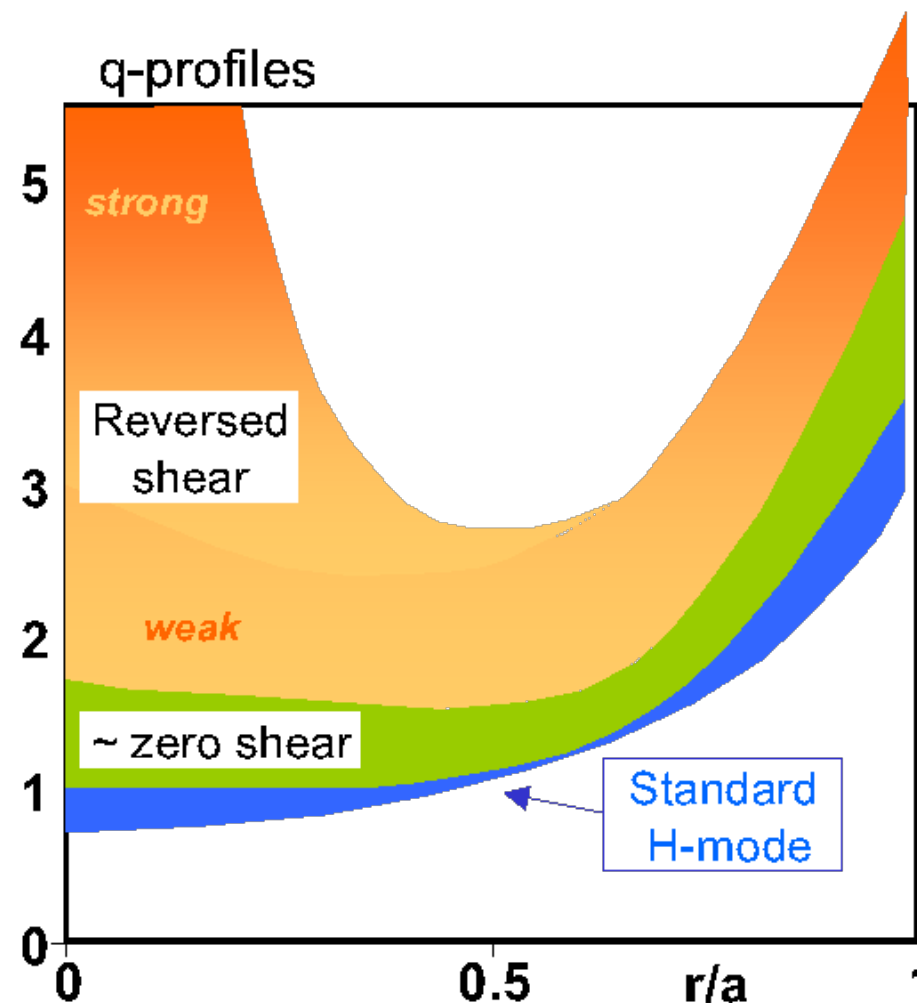
Standard j-profile



Turbulence suppression is most effective for non-monotonous current profiles



- Perturbations are field aligned, magnetic shear tilts the eddies and reduces the drive
- Maximum transport around $s = 0.5$

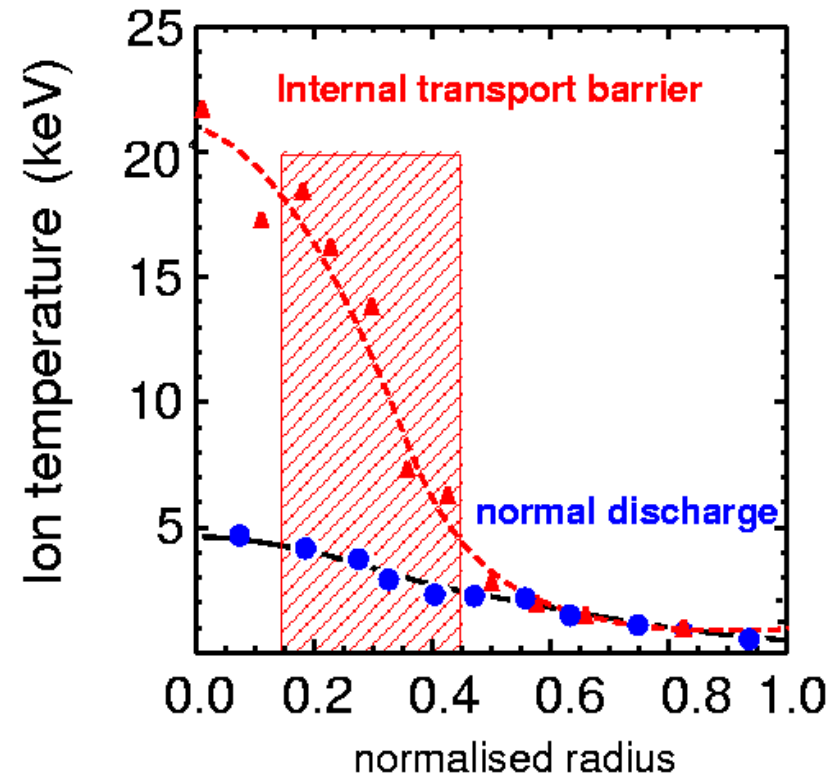
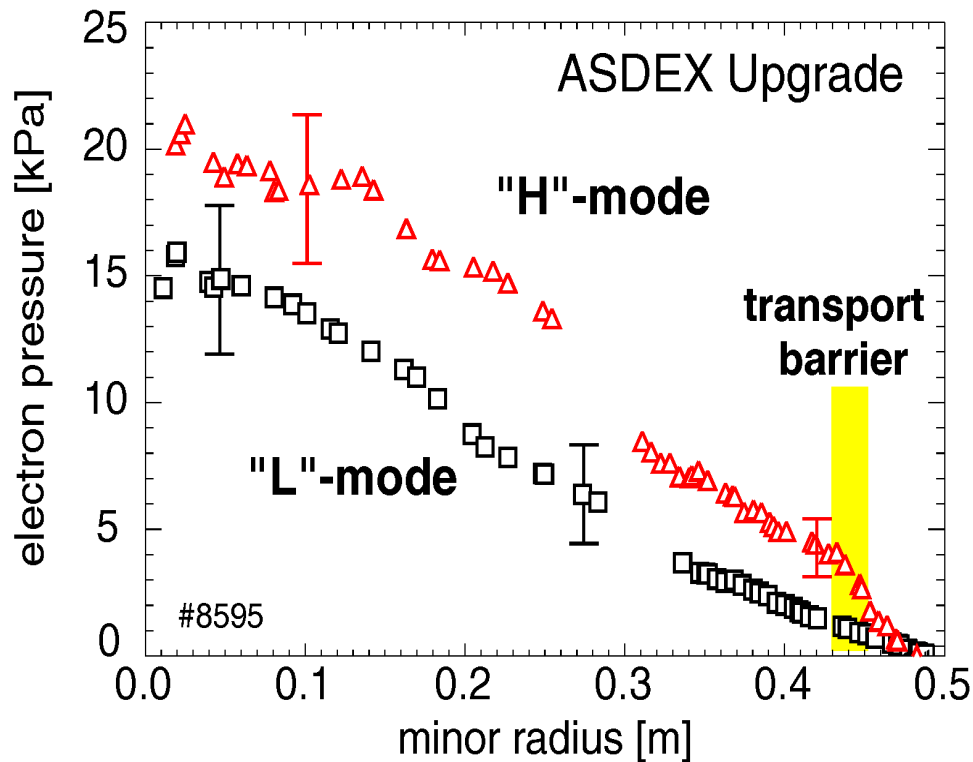


Transport barriers due to turbulence suppression



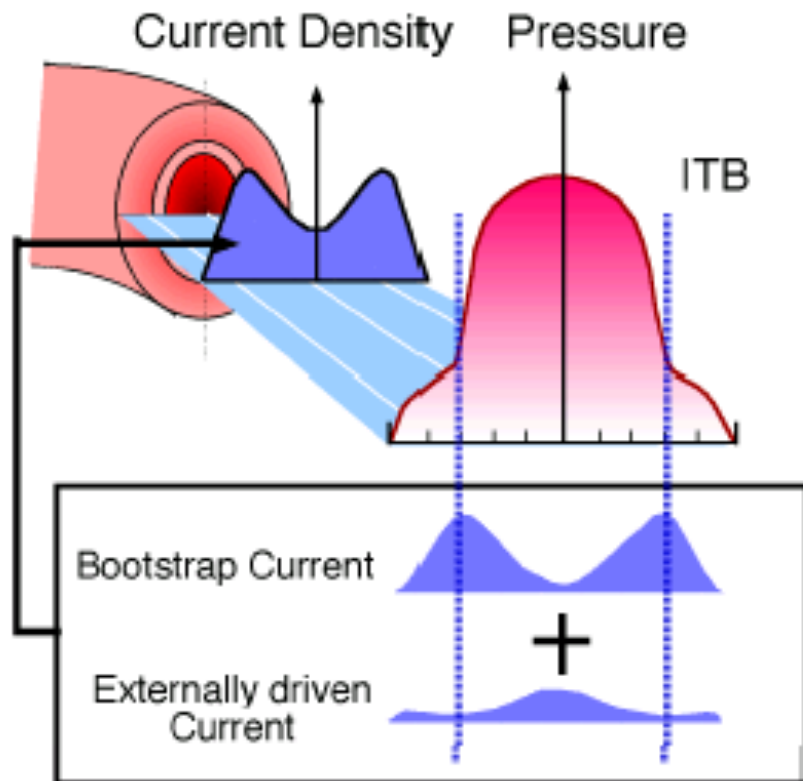
conventional Tokamak

„Advanced Tokamak“



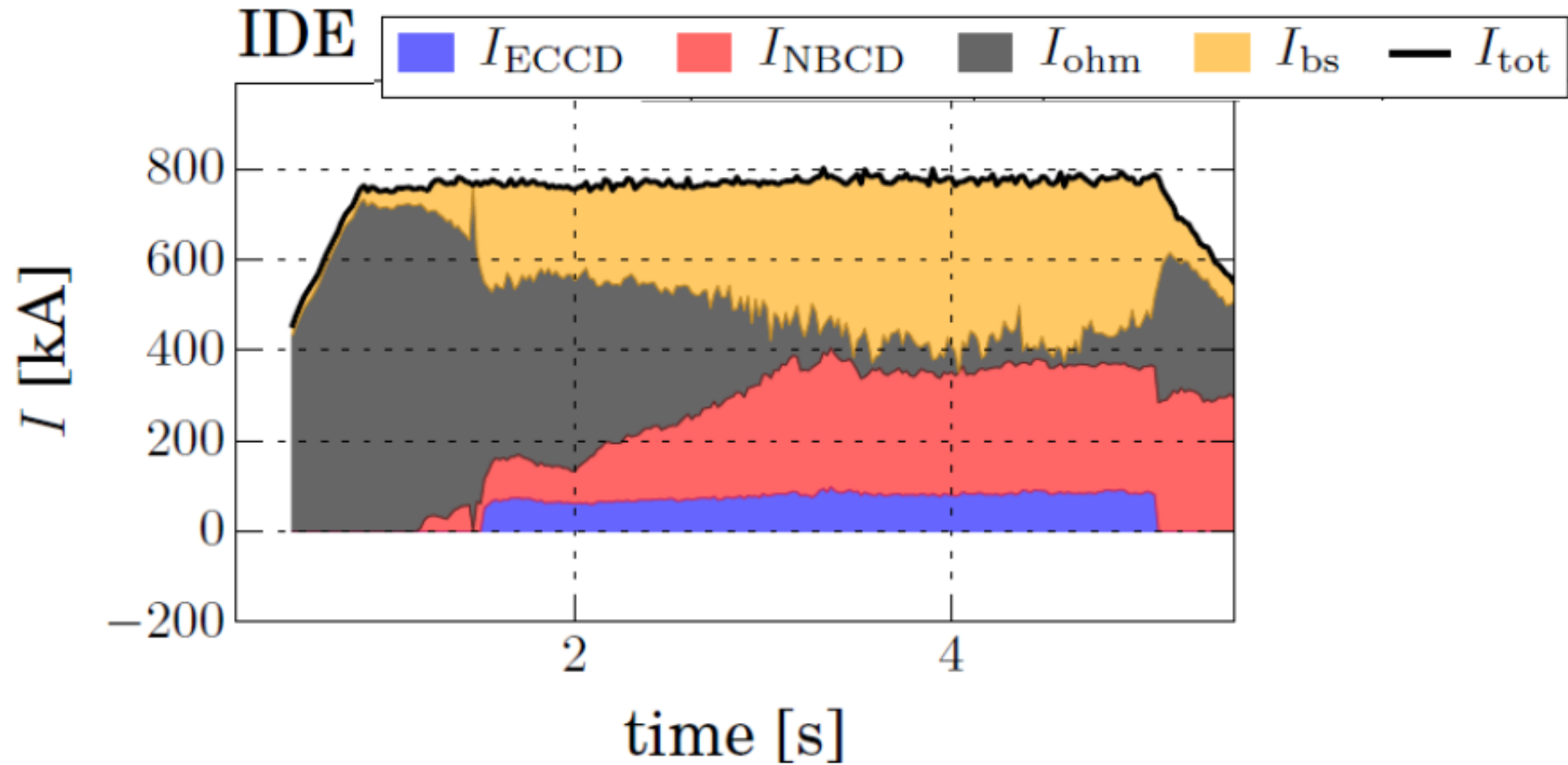
Ignition temperature at ASDEX Upgrade!

- Transport barrieres → improved heat insulation
- ignition for smaller machines possible
- stationary operation due to non-inductive current drive



$$j_{BS} \sim \nabla p$$

Stationary Tokamaks – first results



State of the art: substitute simple scaling laws by prediction of density and temperature profiles

